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Sun, Aug 29, 2021 at 6:38 PM

Reply-To: International Journal of Pharmaceutics <support@elsevier.com>

To: Andi Dian Permana <andi.dian.permana@farmasi.unhas.ac.id>

Re: Development of novel thermosensitive and mucoadhesive gels of cabotegravir for enhanced permeation and retention profiles in vaginal tissue: A proof of concept study

Research Paper

Cindy Kristina Enggi; Hansel Tridatmojo Isa; Sulistiawati Sulistiawati; Komang Agus Rai Ardika; Stevens Wijaya; Ranga Meidianto Asri; Sandra Aulia Mardikasari; Ryan F. Donnelly; Andi Dian Permana

Dear Dr. Permana,

Your submission entitled "Development of novel thermosensitive and mucoadhesive gels of cabotegravir for enhanced permeation and retention profiles in vaginal tissue: A proof of concept study" has been received by International Journal of Pharmaceutics

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Your Submission IJPHARM-D-21-02343

1 message

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To: Andi Dian Permana <andi.dian.permana@farmasi.unhas.ac.id>

Sat, Sep 25, 2021 at 5:36 PM

Ms. Ref. No.: IJPHARM-D-21-02343

Title: Development of novel thermosensitive and mucoadhesive gels of cabotegravir for enhanced permeation and retention profiles in vaginal tissue: A proof of concept study
International Journal of Pharmaceutics

Dear Dr. Permana,

Comments on your paper have now been received and are attached to this message. Please consider all the points made and upon returning your paper detail your responses and the actions taken.

Please submit the revised manuscript by Nov 24, 2021. Upon receipt of your revised paper, we will inform you of the outcome as soon as possible. Papers not received within that time period will be considered to be withdrawn, you are welcome to resubmit your paper as a new submission at a later date.

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Yours sincerely,

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International Journal of Pharmaceutics

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Reviewers' comments:

Reviewer #1:

1. Abstract

-Should be shortened and written more carefully.

-Line 22, in vaginal tissue in woman patient. Use "of" instead of second "in".

-Line 25, mucoadhesive gel and the combination of thermosensitive and mucoadhesive gel were developed. The corrected sentence is "mucoadhesive gel, and the combination of these gels were developed". Don't use extra words in the Abstract because it's already long. Also, when three or more examples are lined up in a row before the last one, use "and" and put comma before the "and". There are similar mistakes through the manuscript. Please check it.

-Line 31, not studies, you can say properties.

-Line 33, not vaginal, it should be "vagina"

-Line 36, put comma before "and".

Please check all the manuscript because there are similar mistakes through it. It's causing some meaning problems.

The manuscript should be read carefully again to avoid all grammar, meaning, and punctuation errors.

2. Material and Methods

-Line 205, give reference for 276 nm.

-Line 228, 2 should be given as superscript

-Why the researchers added 20% v/v methanol to SVF for drug release test? Also, they should give a reference.

-In ex vivo permeation study, isn't there any solution in donor compartment? The receptor compartment is filled with PBS and donor compartment is filled with SVF to mimic vaginal environment. In this study, SVF was filled in the receptor compartment? Why? Can you please give a reference? The reference you gave is belong this article "<https://pubs.acs.org/doi/10.1021/acsami.1c03422>" and they did how I explained above.

-Line 271, 0 should be given as subscript.

-Ethical approval number should be given under the title " 2.19 Irritation and histopathological studies"

-Which methods did you use for the statistical analysis should be given under the title " 2.20 Statistical analysis", not only the program name.

3. Results and Discussion

-The grey lines around the figures in Figure 2 should be removed.

-Line 383 and 388, remove the comma

-Line 393, not \pm , it should be \sim

Highlights should be added.

Reviewer #2:

The aim of this work was to prepare and characterize a thermosensitive and mucoadhesive gel intending for the vaginal administration of cabotegravir. This study is interesting from a pharmaceutical standpoint as this kind of system might constitute a valuable strategy in the treatment of HIV. However, I think that the context, the experimental part and the discussion should be strengthened before publication in International Journal of Pharmaceutics. The authors consider the combination of Pluronic F127, Pluronic F68 and HPMC. This combination is not completely "novel" as it was already used for vaginal administration of other active substances by several groups including some of the authors of the present manuscript. The authors should also mention that such combination was previously described for the formulation of vaginal hydrogels containing an anti HIV microbicide (Bouchemal et al, International Journal of Pharmaceutics, 2013, 454, 649-652). I suggest that they also compare and discuss their strategy to the one recently proposed by Kuranakan et al (Design and testing of cabotegravir implant for HIV prevention, Journal of Controlled Release, 2021, 330, 658-668). Moreover, I think that very important experimental details were not provided, which compromises the quality of the paper.

More specific comments and questions on the manuscript which require special attention of the authors are given below.

- In the material section, several chemicals are missing: HPMC (grade?), Carbomer, DMDM Hydantoin (and not Hidantoin).

- pH determination: did the author use an electrode suited for viscous formulations?

- A Brookfield viscometer does not allow an absolute determination of the viscosity. It only provides comparative values and allows comparison of formulations studied under identical experimental conditions (spindle, sample volume and container, speed, time spent at each speed...). All these conditions should be provided.

- The determination of the sol-gel transition temperature by the tube inversion method is not very precise and nowadays rheological methods relying on the determination of the elastic and viscous moduli should be preferred and can be available through collaborations.

- Please provide the details of the UV-Vis spectrophotometric method used to assess the concentration of drug or give a reference.

- What is the surface area of the porcine vaginal mucosa exposed to the formulation in the mucoadhesive test?

- What are the characteristics the membrane used for the dialysis method (material, cut-off?)

- What is the surface area of the Franz cell and the volume of the receptor compartment?

- Please provide the strain(s) and origin of the lactobacilli used (*Lactobacillus gasseri*, *Lactobacillus crispatus*, *Lactobacillus jensenii*, ...?), concentration of lactobacilli in MRSB... Results might be strain specific.

- What is the number of animals per group in the in vivo study?

- For every experiments the authors should provide the number of measurements performed on each system in the material and methods section.
- Do not use the obsolete cgs units but the International System of Units (for viscosity values Cps (cP) should be replaced by values in mPa.s or Pa.s; for mucoadhesive test dyne/cm² should be replaced by values in N/m² or Pa)
- Line 373: Please correct "Localization time"
- I suggest to provide the values of the different parameters obtained from the mathematical modelling of the permeation experiments and not only correlation coefficients (maybe as supplementary data).
- The values and their standard deviation reported in Table 5, 6 and 7 are given with too many digits: the measurements cannot be performed with such an accuracy. Besides, these results are based on 3 replicates only. For instance, in Table 7, $(3.1 \pm 0.5) \cdot 10^3$ dyne.cm⁻² would be more realistic and consequently more correct than " 3078.53 ± 513.08 dyne.cm⁻²".
- The authors concluded (section 3.13) that the "CAB vaginal gel did not inhibit the growth of the vaginal microbiota (Lactobacilli)". What about the viability of Lactobacilli when they will be completely covered or mixed with the gel as for a vaginal administration? The authors could enrich their discussion looking for instance at the following articles N'Guessan et al, International Journal of Pharmaceutics, 2020, 588, 119733 and Vigani et al, Pharmaceutics, 2019, 11, 511.

Comments from the editor:

1) Please carefully check the English throughout the manuscript, e.g.

"To overcome these issues, for the first time, we formulated CAB into three various types of vaginal gels."

"This study showed that after 24 hours, the release of CAB from all formulations increased following the increased of PEG concentration."

"...the formulations between F1 & F2 and F4 & F5 showed no significant difference..."

One of the co-authors is a native English speaker.

2) Please increase the resolution of the graphical abstract.

3) The manuscript must be very carefully prepared, e.g. Figure 4 should show drug release profiles, but all y-axes are labeled "... permeation".

4) The mathematical analysis of drug release and permeation must be omitted:

Looking at the experimental data, it is clear that the profiles at partially biphasic (none of the applied models does take this into account).

According to the data in Figure 4 the release rates are increasing with time, but the authors state:

"F4 in thermosensitive gel, mucoadhesive gel, and thermosensitive mucoadhesive gel exhibited first-order kinetics indicating that the drug release tends to decrease..."

5) Scale bars are missing in several figures.

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Editor handles your revised submission IJPHARM-D-21-02343R1

1 message

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Wed, Sep 29, 2021 at 10:41 AM

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Ref.: Revision of IJPHARM-D-21-02343R1

Title: Development of thermosensitive and mucoadhesive gels of cabotegravir for enhanced permeation and retention profiles in vaginal tissue: A proof of concept study

Dear Dr. Permana,

Your revised submission "Development of thermosensitive and mucoadhesive gels of cabotegravir for enhanced permeation and retention profiles in vaginal tissue: A proof of concept study" will be handled by Editor-in-Chief Juergen Siepmann, PhD.

You may check the progress of your revision by logging into the Editorial Manager as an author at <https://www.editorialmanager.com/ijpharm/>.

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Development of thermosensitive and mucoadhesive gels of cabotegravir for enhanced permeation and retention profiles in vaginal tissue: A proof of concept study --Manuscript Draft--

Manuscript Number:	IJPHARM-D-21-02343R1
Article Type:	Research Paper
Section/Category:	
Keywords:	Cabotegravir; HIV; mucoadhesive; thermosensitive vaginal gel
Corresponding Author:	Andi Dian Permana, Ph.D Hasanuddin University Makassar, INDONESIA
First Author:	Cindy Kristina Enggi
Order of Authors:	Cindy Kristina Enggi Hansel Tridatmojo Isa Sulistiawati Sulistiawati Komang Agus Rai Ardika Stevens Wijaya Rangga Meidianto Asri Sandra Aulia Mardikasari Ryan F. Donnelly Andi Dian Permana, Ph.D
Abstract:	<p>As an effective anti-HIV drug, cabotegravir (CAB) is currently administered via oral and injection routes, leading to several drawbacks, such as poor oral bioavailability and problems in the injection application process, as well as low drug concentration in vaginal tissue of woman patients. To overcome these issues, for the first time, we formulated CAB into three types of vaginal gels, considering the benefits of vaginal tissue as a delivery route. Thermosensitive gel, mucoadhesive gel, and the combination of these gels were developed as suitable carriers for CAB. Pluronic®, hydroxy propyl methyl cellulose (HPMC), Carbomer and poly(ethylene glycol) (PEG) 400 were used as thermosensitive, mucoadhesive and permeation enhancer agents, respectively. The gels were evaluated for their thermosensitive and mucoadhesive properties, as well as their pH values, viscosities, gel erosions, drug content recovery, in vitro drug release, ex vivo permeation, ex vivo retention, hemolytic activities, Lactobacillus inhibition activities and in vivo irritation properties. The results showed that all formulations showed desired characteristics for vaginal administration. Importantly, all formulations did not show hemolytic activities and inhibitions to Lactobacillus as normal bacteria in the vagina. Furthermore, no irritation in the vaginal tissues of the rats was observed by histopathological studies. Considering the thermosensitive and mucoadhesive properties, the combination of Pluronic® F127, Pluronic F68, and HPMC in thermosensitive-mucoadhesive vaginal gels was selected as the optimum dosage form for CAB as this formulation was able to provide ease administration due to its liquid form at room temperature. The use of PEG in this formulation was able to increase the penetrability of CAB through vaginal tissue with 0.61 ± 0.05 mg and 17.28 ± 0.95 mg of CAB being able to penetrate and localize in the vagina, respectively. Essentially, the optimum formulation was retained in the vaginal mucosa for more than 8 hours. To conclude, further extensive in vivo studies should now be conducted to evaluate the efficacy of this approach.</p>

Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

1 **Development of thermosensitive and mucoadhesive gels of cabotegravir for enhanced**
2 **permeation and retention profiles in vaginal tissue: A proof of concept study**

3

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16

17

18

19 **Abstract**

20 As an effective anti-HIV drug, cabotegravir (CAB) is currently administered *via* oral and injection
21 routes, leading to several drawbacks, such as poor oral bioavailability and problems in the injection
22 application process, as well as low drug concentration in vaginal tissue of woman patients. To
23 overcome these issues, for the first time, we formulated CAB into three types of vaginal gels,
24 considering the benefits of vaginal tissue as a delivery route. Thermosensitive gel, mucoadhesive
25 gel, and the combination of these gels were developed as suitable carriers for CAB. Pluronic[®],
26 hydroxy propyl methyl cellulose (HPMC), Carbomer and poly(ethylene glycol) (PEG) 400 were
27 used as thermosensitive, mucoadhesive and permeation enhancer agents, respectively. The gels
28 were evaluated for their thermosensitive and mucoadhesive properties, as well as their pH values,
29 viscosities, gel erosions, drug content recovery, *in vitro* drug release, *ex vivo* permeation, *ex vivo*
30 retention, hemolytic activities, *Lactobacillus* inhibition activities and *in vivo* irritation properties.
31 The results showed that all formulations showed desired characteristics for vaginal administration.
32 Importantly, all formulations did not show hemolytic activities and inhibitions to *Lactobacillus* as
33 normal bacteria in the vagina. Furthermore, no irritation in the vaginal tissues of the rats was
34 observed by histopathological studies. Considering the thermosensitive and mucoadhesive
35 properties, the combination of Pluronic[®] F127, Pluronic F68, and HPMC in thermosensitive-
36 mucoadhesive vaginal gels was selected as the optimum dosage form for CAB as this formulation
37 was able to provide ease administration due to its liquid form at room temperature. The use of PEG
38 in this formulation was able to increase the penetrability of CAB through vaginal tissue with 0.61
39 \pm 0.05 mg and 17.28 \pm 0.95 mg of CAB being able to penetrate and localize in the vagina,
40 respectively. Essentially, the optimum formulation was retained in the vaginal mucosa for more
41 than 8 hours. To conclude, further extensive *in vivo* studies should now be conducted to evaluate
42 the efficacy of this approach.

43

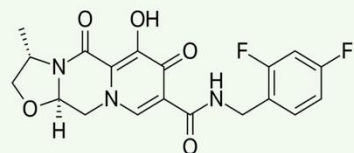
44 **Keywords:** Cabotegravir, HIV, mucoadhesive, thermosensitive vaginal gel.

45 **Highlights:**

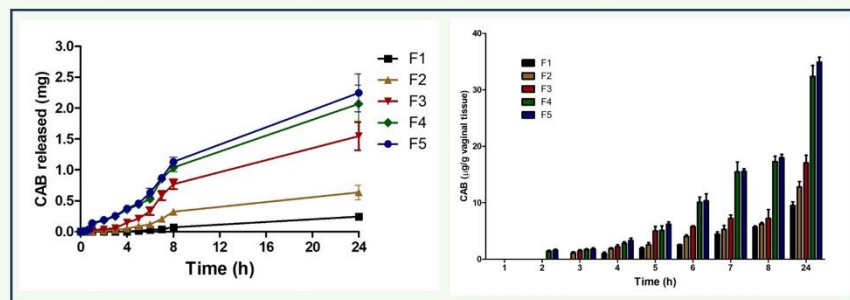
- 46 • Cabotegravir were formulated into thermosensitive and mucoadhesive vaginal gels
- 47 • The gels possessed desired thermosensitive and mucoadhesive properties
- 48 • The use of PEG 400 was able to increase the permeation and the localization in vaginal
- 49 tissue in *ex vivo* studies
- 50 • The gels were found to be non-toxic and non-irritant in vaginal mucosa tissues of rats

51 GRAPHICAL ABSTRACT

52



Cabotegravir (CAB)



Increase the penetrability of CAB through vaginal tissue and CAB localization in the vagina

Hemolytic activities, *Lactobacillus* inhibition activities and *in vivo* irritation studies

The block contains three sub-images: 1) Hemolytic Activities: four test tubes showing varying degrees of red color, indicating hemolysis. 2) *Lactobacillus* inhibition activities: two petri dishes with zones of inhibition labeled A, B, and C. 3) *in vivo* irritation studies: an illustration of a mouse and a diagram of a mouse's reproductive system.

Evaluation of the gel Characteristics

- Mucoadhesion Properties
- Gelation Temperature
- Viscosity
- pH
- Drug Recoveries
- Gel Erosion

***In vitro* release studies, *Ex vivo* permeation and retention**

The block contains two sub-images: 1) *In vitro* release studies: a vial containing a clear liquid. 2) *Ex vivo* permeation and retention: a diagram of a permeation cell setup with a donor chamber, a membrane, and a receptor chamber.

54 1. Introduction

55 Human immunodeficiency virus (HIV) is a virus that attacks the immune system of humans
56 by infecting vital cells such as T helper cells (CD4+) and macrophages (Février et al., 2011). Since
57 its first discovery in 1981, HIV is still a global health problem causing a high mortality rate
58 (Schwetz and Fauci, 2018). Based on data from the United Nations on HIV/AIDS (UNAIDS) in
59 2020, it is estimated that around 81% or 38 million confirmed cases of HIV have occurred
60 worldwide (UNAIDS, 2020). The main route for HIV transmission is through sexual intercourse.
61 Females have a greater risk of being infected through this route because in the female genital tract
62 mucosa, there are CD4+ T cells and CCR5, acting as HIV receptors and coreceptors (Iyer et al.,
63 2017).

64 The current available HIV treatment is the use of the antiretroviral drug. One of the
65 antiretroviral drugs used is cabotegravir (CAB) (Kovač and Časar, 2020). CAB has a long half-
66 life and good activity against HIV at low concentrations. In addition, it has a low risk of drug
67 interactions (Whitfield and Halsema, 2016). CAB is available in tablet and injection forms. The
68 tablet form is intended for daily medication/intake. However, many HIV patients develop oral
69 candidiasis, which causes swallowing difficulty. This causes the oral route of drug administration
70 to be quite difficult (Williams and Lewis, 2011). CAB is also included in the biopharmaceutics
71 classification system (BCS) II, which has low solubility and high permeability, leading to low
72 bioavailability of CAB (Patel et al., 2019). Furthermore, CAB is also available in long-acting
73 cabotegravir injection, which has a long half-life, thereby reducing the frequency of its
74 administration (Trezza et al., 2015). However, the injection needs to be administered in a health
75 facility by trained healthcare professionals. Additionally, injection often results in hazardous waste
76 and is painful for some patients. This subsequently can decrease patient compliance, leading to
77 discontinuing treatment (Mc Crudden et al., 2019).

78 Vaginal drug delivery systems (VDDS) is an alternative route for drug delivery, offering
79 various advantages, such as a large surface area, rich blood supply, high permeability, increase of
80 drug bioavailability, avoidance of first-pass metabolism, minimizing side effects, and easy to use
81 when compared to injections (Tuğcu-Demiröz et al., 2013). In addition, the drug administration
82 via the vaginal route does not cause pain, tissue damage, and the possibility of infection, which
83 are commonly associated with the injection. Essentially, vaginal administration can increase drug
84 bioavailability in the vaginal area compared to oral administration. With respect to vaginal delivery

85 of anti-HIV drugs, a previous study has shown the effectiveness of vaginal delivery of anti-HIV-
86 1 microbicide, the miniCD4 M48U1, from thermosensitive and mucoadhesive
87 Pluronic[®] hydrogels (Bouchemal et al., 2013). Considering this benefit, vaginal delivery could be
88 essential to provide a higher concentration of CAB in the vagina. Research conducted by Radzio-
89 basu et al., 2019 showed that CAB concentration in the vaginal fluid after the administration of
90 long acting injection were below four times the protein-adjusted inhibitory concentration (4xPA-
91 IC90) value (0.664 µg/mL) during the entire treatment period. 4xPA-IC90 is a well-established
92 threshold of CAB concentration for rectal and vaginal protection against repeated exposures to
93 HIV (Dobard et al., 2020; Id et al., 2018). By delivering drugs directly to the vaginal area, it was
94 anticipated that the systemic side effects in oral and parenteral administration could be reduced
95 (Ferguson and Rohan, 2011), while improving the drug localized in the vaginal tissue.

96 Thermosensitive *in situ* gel is one of the vaginal delivery systems developed to both
97 increase the vaginal localization and the systemic bioavailability of drugs. Thermosensitive gel is
98 an *in situ* gel system that is sensitive to temperature changes. *In situ* gel systems, especially
99 thermosensitive gels, appear as a solution at room temperature (25°C) and immediately turn into
100 a gel when they reach body temperature (37°C) (Chang et al., 2002; Sajid et al., 2014). Several
101 studies have shown the advantages of this system in vaginal delivery (Taurin et al., 2018; Yang et
102 al., 2017). The gel's low viscosity at room temperature allows the easy vaginal administration as
103 well as optimal spread within the mucosa (Vigani et al., 2019). In the formulation, Pluronic[®] can
104 be used as a thermosensitive polymer. Pluronic[®] provides the advantages of its sensitivity to
105 temperature changes and its ability to increase the drug's retention time (Mohanty et al., 2018). In
106 addition, Pluronic has low toxicity, good biocompatibility and good miscibility with hydrophobic
107 drugs (Soliman et al., 2016), like CAB. In addition, Pluronic[®] has also been shown to be non-
108 irritant and compatible with various cell types and biological fluids (Sajid et al., 2014). However,
109 vagina has a self-cleaning mechanism causing poor retention time of drugs in the vaginal mucosa.
110 This leads to the need for repeated dose in therapy to ensure the desired concentration of drug
111 (Regina et al., 2012). This problem can be solved by the formulation of mucoadhesive delivery
112 system. This system has advantages when compared to conventional dosage forms. It is readily
113 localized, improving the bioavailability of drugs. Interaction between the mucoadhesive polymer
114 used in the formulation and the vaginal mucosa could potentially increase the retention time of
115 drugs (Choi et al., 2014; Tuğcu-Demiröz et al., 2013). Carbopol and hydroxy methyl propyl

116 cellulose (HPMC) are the most common gelling agents possessing excellent mucoadhesive
117 properties (Russo and Villa, 2019).

118 The combination of thermosensitive and mucoadhesive approaches **has also been used** in
119 **the** vaginal delivery system. Several drugs had been applied into this system, as itraconazole
120 (Permana et al., 2021a), clotrimazole (Rençber et al., 2017), sildenafil citrate (Soliman et al.,
121 2016), and paclitaxel (Choi et al., 2014). This type of dosage form offers ease of administration,
122 controlled release of drugs and provide prolonged retention time. In the vaginal delivery system,
123 **especially in HIV therapy**, the drugs are intended to be localized in the tissue and delivered in the
124 systemic circulation. Accordingly, it is crucial to consider the use of permeation enhancers.
125 Polyethylene glycol (PEG) is a chemical enhancer **that** is commonly used to enhance the
126 permeation of several drugs (Shah et al., 2013). The addition of this compound in the vaginal was
127 considered to be an excellent favor in this case.

128 To the best of our knowledge, there have been no studies reported on vaginal delivery of
129 CAB. Hence, in this paper, for the first time, we formulated CAB in form of vaginal gels. This
130 study aimed to compare **three** types of cabotegravir vaginal gels, namely vaginal thermosensitive
131 gel, mucoadhesive gel, and combination of **these gels**. The thermosensitive gel was prepared
132 **utilizing** Pluronic[®] F127- Pluronic[®] F68, the mucoadhesive gel was prepared using Carbopol 940,
133 and the **combination** gel was prepared **utilizing** Pluronic[®] F127- Pluronic[®] F68 – HPMC.
134 Specifically, the effect of PEG in the vaginal formulations was also investigated. These gels were
135 evaluated for their physical characteristics, thermosensitive and mucoadhesive properties, *in vitro*
136 and *ex vivo* delivery behaviors, and *in vivo* irritation studies.

137

138 **2. Material and methods**

139 2.1 Chemicals

140 Cabotegravir (CAB) was kindly gifted by **ViiV Healthcare Ltd. (Research Triangle Park,**
141 **NC, USA).** **Carbopol 940, DMDM Hydantoin, Hydroxypropyl methylcellulose (HPMC)**
142 **(viscosity 2,600-5,600 cP, 2 % in H₂O),** (Poly(ethylene glycol) (PEG) 400 were obtained from
143 Sigma-Aldrich Pte Ltd. (Singapore, Singapore). Pluronic[®] F127 and F68 were kindly provided by
144 BASF Indonesia, Jakarta. Other materials were analytical grade

145

146

147 **2.2 Simulated vaginal fluid preparation**

148 Simulated vaginal fluid (SVF) was prepared by weighing 5 g of glucose, 3.51 g of NaCl, 2
149 g of lactic acid, 1.4 g of KOH, 1 g of acetic acid, 0.4 g of urea, 0.222 g of Ca(OH)₂, and 0.016 g
150 glycerin. The mixture was then dissolved using deionized water up to 1 L. The pH was evaluated
151 and adjusted with 0.1 N HCl or 0.1 N NaOH to reach a final pH of 4.2 (Das Neves et al., 2012).

152 **2.3 Preparation of thermosensitive gel**

153 The thermosensitive gel of CAB was prepared using a modified cold method. The
154 composition of the thermosensitive gels is depicted in **Table 1**. Briefly, Pluronic[®] F127 and
155 Pluronic[®] F68 were slowly added into cold water (4°C) with continuous stirring. This dispersion
156 was stored in the refrigerator overnight until a clear solution was obtained. After that, CAB was
157 mixed with PEG 400 in mortar and the polymeric solution was added. Finally, DMDM Hydantoin
158 was added and homogenized (Ibrahim et al., 2012; Moreira et al., 2010).

159 **2.4 Preparation of thermosensitive-mucoadhesive gel**

160 Various thermosensitive-mucoadhesive gel formulations of CAB were prepared using
161 Pluronic[®] F127, Pluronic[®] F68, and HPMC as polymers, as shown in **Table 2**. The gels were made
162 using a modification of the cold method. Required amount of Pluronic[®] F127 and Pluronic[®] F68
163 were slowly added into cold water (4°C) with continuous stirring. The gels were then stored in
164 refrigerator until a clear solution was obtained. Furthermore, HPMC was added, and the
165 formulation was kept refrigerated overnight. Then, CAB was mixed with PEG 400 in the mortar,
166 and the polymeric solution was added along with DMDM Hydantoin. The mixture was finally
167 stirred until homogenous (Güven et al., 2010; Permana et al., 2021a).

168 **2.5 Preparation of mucoadhesive gel**

169 Five mucoadhesive gel formulations of CAB were made using Carbopol 940 (0.75% w/w)
170 as the gel base (Aiyalu et al., 2016). Details of composition of gel formulation are exhibited in
171 **Table 3**. An accurately weighed amount of Carbopol 940 was hydrated in distilled water. It was
172 kept for 24 hours to form a homogeneous dispersion. Then, the obtained dispersion was neutralized
173 with triethanolamine (TEA), and the mixture was homogenized at 1000 rpm for 15 min. After that,
174 an appropriate amount of glycerin was added into the mixture. Then, CAB was mixed with PEG
175 400 and added into the gel base. Finally, DMDM Hydantoin was added and mixed at 1000 rpm
176 until homogenous (Gabriela et al., 2016; Jelvehgari et al., 2006).

177

178
179

Table 1. Composition of thermosensitive gel formulations

Compositions	%Composition (w/w)				
	F1	F2	F3	F4	F5
CAB	1	1	1	1	1
Pluronic F-127	16,5	16,5	16,5	16,5	16,5
Pluronic F-68	4,5	4,5	4,5	4,5	4,5
PEG 400	-	2,5	5	10	15
DMDM Hydantoin	0,1	0,1	0,1	0,1	0,1
Distilled water	ad 100	ad 100	ad 100	ad 100	ad 100

180
181

Table 2. Composition of thermosensitive-mucoadhesive gel formulations

Compositions	%Composition (w/w)				
	F1	F2	F3	F4	F5
CAB	1	1	1	1	1
Pluronic F-127	16	16	16	16	16
Pluronic F-68	6	6	6	6	6
HPMC	0,5	0,5	0,5	0,5	0,5
PEG 400	-	2,5	5	10	15
DMDM Hydantoin	0,1	0,1	0,1	0,1	0,1
distilled water	ad 100	ad 100	ad 100	ad 100	ad 100

182
183

Table 3. Composition of mucoadhesive gel formulations

Compositions	%Composition (w/w)				
	F1	F2	F3	F4	F5
CAB	1	1	1	1	1
Carbopol 940	0,75	0,75	0,75	0,75	0,75
Triethanolamine	2	2	2	2	2
Glycerin	15	15	15	15	15
PEG 400	-	2,5	5	10	15
DMDM Hydantoin	0,1	0,1	0,1	0,1	0,1
Distilled water	ad 100	ad 100	ad 100	ad 100	ad 100

184
185

2.6 pH measurement

186 The assessment of gel pH was conducted using a digital pH meter (Horiba Scientific,
187 Kyoto, Japan). The measurement was done by soaking the glass electrode entirely into the
188 formulation at room temperature (Aiyalu et al., 2016). The measurement was performed in
189 triplicate.

190 2.7 Viscosity study

191 The viscosity of the formulations was examined using a Brookfield viscometer with
192 suitable spindle speed and size (Aiyalu et al., 2016). This evaluation was carried out at 25°C for
193 the mucoadhesive gels and at various temperatures (4°C, 25°C, and 37°C) for the thermosensitive
194 gels and thermosensitive-mucoadhesive gels (Aiyalu et al., 2016; Permana et al., 2021a).
195 Specifically, the viscosity measurement was carried out using spindle 7, a sample volume of 50

196 mL, speed of 50 rpm, and time spent of 60 seconds at each measurement. The measurement was
197 performed in triplicate.

198 2.8 Rheological properties

199 The rheological properties of the gel were measured using a Brookfield viscometer
200 (Permana et al., 2021a). In this study, the mucoadhesive gel was evaluated at 25°C, while the
201 thermosensitive gel and thermosensitive-mucoadhesive gel were examined at 37°C. Then, the gel
202 was rotated gradually at 5, 10, 20, 50, and 100 rpm with spindle 7. At each speed, the corresponding
203 dial reading was recorded. The measurement was performed in triplicate.

204 2.9 Gelation temperature test

205 The measurement of gelation temperature was conducted using a test tube inverting method
206 in 2 different conditions, namely with and without dilution with SVF. An amount of 2 mL of each
207 gel formulation was put into a test tube and placed at 4°C. Then, the test tube was soaked in water
208 at 20°C which the temperature was gradually increased by 1°C until 65°C. Each gel was then
209 observed visually by inverting the test tube up to 90° for each temperature. The lowest temperature
210 in which the liquid could not flow and turn into a gel was recorded as the gelation temperature.
211 The same method was also applied to determine gelation temperature with dilution, where 0.25
212 mL of SVF was added prior to evaluation (Permana et al., 2021b). The measurement was
213 performed in triplicate.

214 2.10 Drug content recovery

215 This test was conducted by weighing a total of 500 mg of gels and put in 50 mL volumetric
216 flask. The volume was then adjusted using methanol. The absorbance was measured triplicate
217 using UV-Vis Spectrophotometer (Dynamica, HALO XB-10). In this study, the calibration curve
218 of CAB was prepared in methanol with the concentration range between 0.5 and 16 µg/mL. The
219 detection was carried out at the maximum wavelength of CAB in methanol which was 276 nm.

220 2.11 Gel erosion study

221 Gel erosion study was carried out in triplicate. Each gel formulation was weighed as much
222 as 5 g and added to a glass vial. Afterwards, 2 mL of SVF was added. After predetermined time
223 (0.5 h, 1 h, 1.5 h, 2 h, 3h, 4h, 5h, 6h, 7h, 8h, and 24 h), the SVF was removed. The remaining gel
224 left in the glass vial was weighed, and subsequently, 2 mL of fresh SVF was added. Gel erosion
225 rate was determined from the weight loss calculation (Giuliano et al., 2020). The measurement
226 was performed in triplicate.

227 2.12 Evaluation of mucoadhesive properties

228 2.12.1 Porcine vaginal mucosa retrieval

229 The porcine vaginal mucosa was surgically removed, then rinsed slightly with water and
230 cooled at -20°C. The tissue was stored in a tightly closed container until further testing (Schwarz
231 et al., 2013).

232 2.12.2 Mucoadhesive strength test

233 The measurement of mucoadhesive strength was carried out using a modified balance
234 method. Porcine vaginal mucosa was attached to the upper and lower vials. After that, 1 g of each
235 gel formulation was placed between vaginal mucosa (surface area of 4.5 cm²), which hung on the
236 left arm of the scales. On the right arm of the scale, weight was placed every 30 seconds on the
237 pan to measure the amount of load required to release the gel from the vaginal mucosa. The
238 addition of the weight was stopped when the surface of the two vials was separated. For
239 thermosensitive and combination of thermosensitive-mucoadhesive gel, the experiment was
240 carried out in triplicate at 37°C (Galgatte et al., 2014; Manna et al., 2016). Mucoadhesive strength
241 was calculated using equation (1),

$$242 \text{ Mucoadhesive Strength (N/m}^2\text{)} = \frac{mg}{A} \times 0.1 \quad (\text{Equation 1})$$

243 where m is the weight required to remove the gel from the vaginal mucosa (gram), g is
244 gravity acceleration (980 m/s²), and A is surface area of the exposed mucosa (cm²).

245 2.12.3 Mucoadhesive time

246 The test of mucoadhesive time was carried out using the rotating cylinder method using
247 USP dissolution test apparatus 2 (paddle apparatus). The medium temperature in the test was
248 maintained at 37°C, and the rotating speed was 100 rpm. In this study, the porcine vaginal mucosa
249 was clamped and attached to the paddle in the dissolution apparatus. After that, 1 g of each gel
250 formulation was applied to the entire vaginal mucosa and subsequently immersed in SVF medium
251 in the dissolution apparatus. Mucoadhesive time was determined based on the time required by the
252 gel formulation to be released from the vaginal mucosa (Sanz et al., 2017). The experiment was
253 performed in triplicate.

254 2.13 Evaluation of *in vitro* drug release

255 The release study was carried out using a dialysis method with SVF containing 20% v/v
256 methanol as the release medium. Our preliminary study showed that the addition of 20% v/v
257 methanol in SVF could maintain sink condition during the experiment. Each gel formulation that

258 was equivalent to 10 mg of CAB was inserted into the dialysis membrane (Spectra-Por®, 12,000
259 - 14,000 MWCO dialysis membrane) (Permana et al., 2020, 2019). After that, the dialysis
260 membrane was placed in 100 mL of the release medium at 37°C. Then, the experiment was carried
261 out in an orbital shaker at 100 rpm. At each predetermined time, 1 mL of the sample was taken
262 and then replaced by 1 mL of fresh release medium. The amount of drug released was calculated
263 by analyzing the sample using UV-Vis spectrophotometry (Dynamica, HALO XB-10). In this
264 study, the calibration curve of CAB was prepared in SVF containing 20% v/v methanol with the
265 concentration range between 0.5 and 16 µg/mL. The detection was performed at the maximum
266 wavelength of CAB in SVF containing 20% v/v methanol which was 278 nm. All measurement
267 was performed in triplicate.

268 **2.14 *Ex vivo* permeation test**

269 The *ex vivo* permeation study was carried out using the vertical Franz cell. In this
270 experiment, porcine vaginal mucosa was utilized to enable the drug permeation from donor
271 compartments to receptor compartments. The surface area of the donor compartment was 4.9 cm².
272 Briefly, the receptor compartment was filled with 24 mL of the medium (SVF with 20% v/v
273 methanol) and then stirred at 100 rpm with the temperature kept at 37 ± 1°C. Afterwards, the donor
274 compartment was filled with each gel formulation that was equivalent to 10 mg of CAB. At each
275 predetermined time, 1 mL of the sample was taken from receptor compartment and then replaced
276 by 1 mL of fresh release medium to maintain the sink condition. All samples were analyzed using
277 UV-Vis spectrophotometry (Dynamica, HALO XB-10) (Permana et al., 2021b). The study was
278 performed in triplicate.

279 **2.15 *Ex vivo* retention test**

280 Retention test was performed by measuring the amount of CAB retained in the vaginal
281 mucosa following the *ex vivo* permeation studies. At each predetermined time, vaginal mucosa
282 was taken and rinsed with distilled water. CAB was extracted using methanol in bath sonicator for
283 1 h. After that, the mixture was centrifuged at 5000 rpm for 30 min. The supernatant then analyzed
284 using UV-Vis spectrophotometry (Dynamica, HALO XB-10) (Permana et al., 2021b). In this
285 study, the calibration curve of CAB was prepared in vaginal tissue with the concentration range
286 between 1 and 32 µg/mL. The detection was performed at the wavelength with no interference of
287 vaginal tissue which was 305 nm. The study was performed in triplicate.

288

289

290 **2.16 *In vitro* hemolytic activity**

291 *In vitro* hemolytic activity study was carried out to determine the safety and
292 biocompatibility of CAB and CAB vaginal gels. A fresh blood sample was obtained from Wistar
293 rats. Red blood cells (RBC) was separated from the plasma through centrifugation at 2000 rpm for
294 20 min. The RBC was washed three times using PBS followed by mixing using a vortex mixer
295 and centrifugation at 2000 rpm for 10 min. The washed RBC was resuspended in PBS to obtain
296 concentration of 10% v/v. An aliquot of 100 µL of tested samples was added to 900 µL of RBC
297 and incubated at 37°C for 1 h. Afterwards, the samples were centrifuged at 7000 rpm for 10 min.
298 The absorbance of the supernatant was measured using UV-Vis Spectrophotometer (Dynamica,
299 HALO XB-10) at 540 nm. Blood sample were added with PBS and water as positive and negative
300 control, respectively (Mir et al., 2020). The experiment was performed in triplicate. Calculation
301 of the hemolysis percentage was done using following equation:

$$302 \text{ Hemolysis (\%)} = \frac{(OD \text{ test sample}) - (OD \text{ negative control}) \times 100}{(OD \text{ positive control}) - (OD \text{ negative control})} \text{ (Equation 7)}$$

303 **2.17 *In-vitro* Lactobacillus inhibition evaluation**

304 The *in vitro* antimicrobial activity test was performed to evaluate any possible microbiota
305 growth inhibitory effect of the formulated vaginal gels to Lactobacilli, the dominant species of
306 bacterial microbiota in a healthy human vagina. Disk diffusion method was utilized for this
307 evaluation. *Lactobacillus acidophilus* (ATCC 53544) cultures were procured from *in vitro*
308 bacterial culture, prepared in a suspension using De Man, Rogosa, and Sharpe Broth (MRSB) with
309 a final concentration of 10⁶ colony-forming units (CFU)/mL. There were 6 samples tested namely
310 (1) blank thermosensitive gel, (2) blank mucoadhesive gel, (3) blank thermosensitive-
311 mucoadhesive gel, (4) thermosensitive gel containing CAB, (5) mucoadhesive gel containing
312 CAB, and (6) thermosensitive-mucoadhesive gel containing CAB. The 6 formulated gel samples
313 were applied separately in the paper disk and placed on MRSA surface with *Lactobacillus* culture,
314 then was further incubated for 24 hours at 37°C. Visible clear zone around the paper disc indicated
315 the possible antimicrobial inhibitory zone of the formulated gel samples (Renschler et al., 2020)

316 **2.18 Irritation and histopathological studies**

317 **2.18.1 Vaginal mucosa irritation study**

318 The *in vivo* irritation evaluation study was carried out using female Wistar rats. The study
319 was approved by the Ethical committee of the Faculty of Medicine, Hasanuddin University,

320 Indonesia (Protocol number: UH21060385). Prior to the experiments, the rats were acclimated for
321 one week. Five cohorts (n=5) were prepared and labeled: (1) positive control, (2) negative control,
322 (3) thermosensitive gel, (4) mucoadhesive gel, and (5) thermosensitive-mucoadhesive gel. For the
323 positive control, 0.2 mL of basic gel containing low dose 5% sodium dodecyl sulfate (SDS) as the
324 irritant material was applied to the rats' vaginal mucosa (Ishii et al., 2016), and no treatment was
325 given for the negative control group. All other cohorts received 0.2 mL of each formulation. All
326 samples were applied to the vaginal mucosa of the rats once a day for three days, administered
327 directly to their vaginal mucosa using disposable syringe without needles. At the end of the
328 experiment, the rats were dissected for their vaginal mucosa sample collection and preserved in
329 formaldehyde in five separate sample containers.

330 2.18.2 Histopathological study

331 The vaginal mucosa samples were taken for histopathology examination. The
332 histopathology examination was performed to examine the irritating potency of the formulated gel
333 on the vaginal mucosa. All vaginal tissue samples were examined for vaginal irritation, defined by
334 four endpoints: (1) epithelial exfoliation, (2) vascular congestion, (3) leukocyte infiltration, and
335 (4) lamina propria edema. A vaginal irritation categorizing system with grades from 0 (no
336 irritation) to 4 (high irritation) was applied to examine each tested material for the endpoints.

337 The composite average scores from the four endpoints are graded based on their range:

- 338 a) 1-4: Minimal vaginal irritation
- 339 b) 5-8: Mild vaginal irritation
- 340 c) 9-11: Borderline vaginal irritation
- 341 d) 12-16: Unacceptable vaginal irritation

342 Formulations showing scores in the range of 1-8 were considered safe as vaginal dosage form
343 (Costin et al., 2011).

344 2.19 Statistical analysis

345 All data were calculated and processed using [®] Excel[®] 2021 (Microsoft Corporation,
346 Redmond, USA). Data displayed is based on mean \pm SD. Analysis was performed statistically
347 using IBM[®] SPSS[®] Statistics 25.0 (IBM, Armonk, New York, USA). Where appropriate, one-way
348 ANOVA was used to compare multiple cohorts. Furthermore, t-test was used to compare two
349 cohorts.

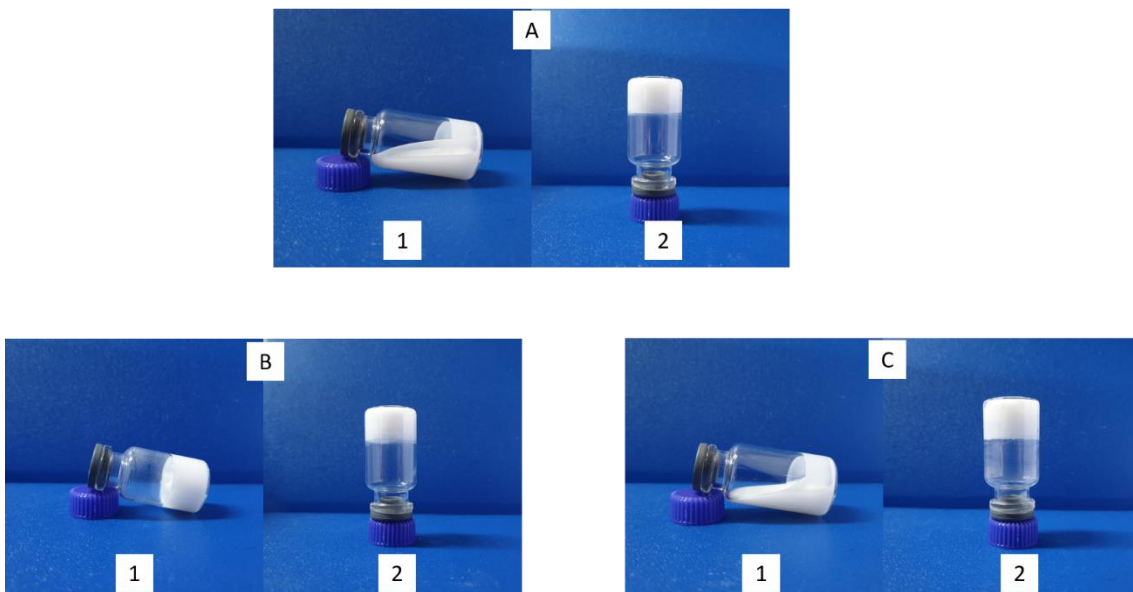
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351

352 3. Results and discussion

353 3.1 Preparation of CAB vaginal gels

354 In this study, CAB was prepared into thermosensitive, mucoadhesive, and thermosensitive-
355 mucoadhesive vaginal gels using different types of polymers. CAB thermosensitive and
356 thermosensitive-mucoadhesive gels were prepared using combination of Pluronic® F127 and
357 Pluronic® F68 as thermosensitive polymers. The combination of Pluronic® F127 and F68 was
358 advantageous because Pluronic® F68 could increase the low gelation temperature of Pluronic®
359 F127. This combination resulted in more compatible properties for biomedical applications in the
360 human body (Holmgren, 2013). Pluronic® or Poloxamers are water soluble nonionic triblock
361 copolymers containing polar (polyethylene oxide) and non-polar (poly propylene oxide) parts
362 which can undergo sol-to-gel transition with the increase of temperature (Russo and Villa, 2019).
363 HPMC was also added in thermosensitive-mucoadhesive gel in order to increase the residence
364 time of CAB in vaginal mucosa (Güven et al., 2010). While for CAB mucoadhesive gel, Carbopol
365 940 was used as the gelling agent, and glycerin as humectant. DMDM Hydantoin was added to
366 formulation as preservative. Furthermore, to provide improved permeation of CAB, PEG 400 was
367 also included in formulation. All formulations were evaluated to obtain the optimum formulation.
368 The representative images of vaginal three various types of vaginal gels are shown in **Figure 1**.
369



370

371 **Figure 1.** Representative of (A) thermosensitive gel, (B) mucoadhesive gel, (C) thermosensitive-mucoadhesive gel at
 372 (1) room temperature and (2) body temperature.

373 3.2 pH measurement

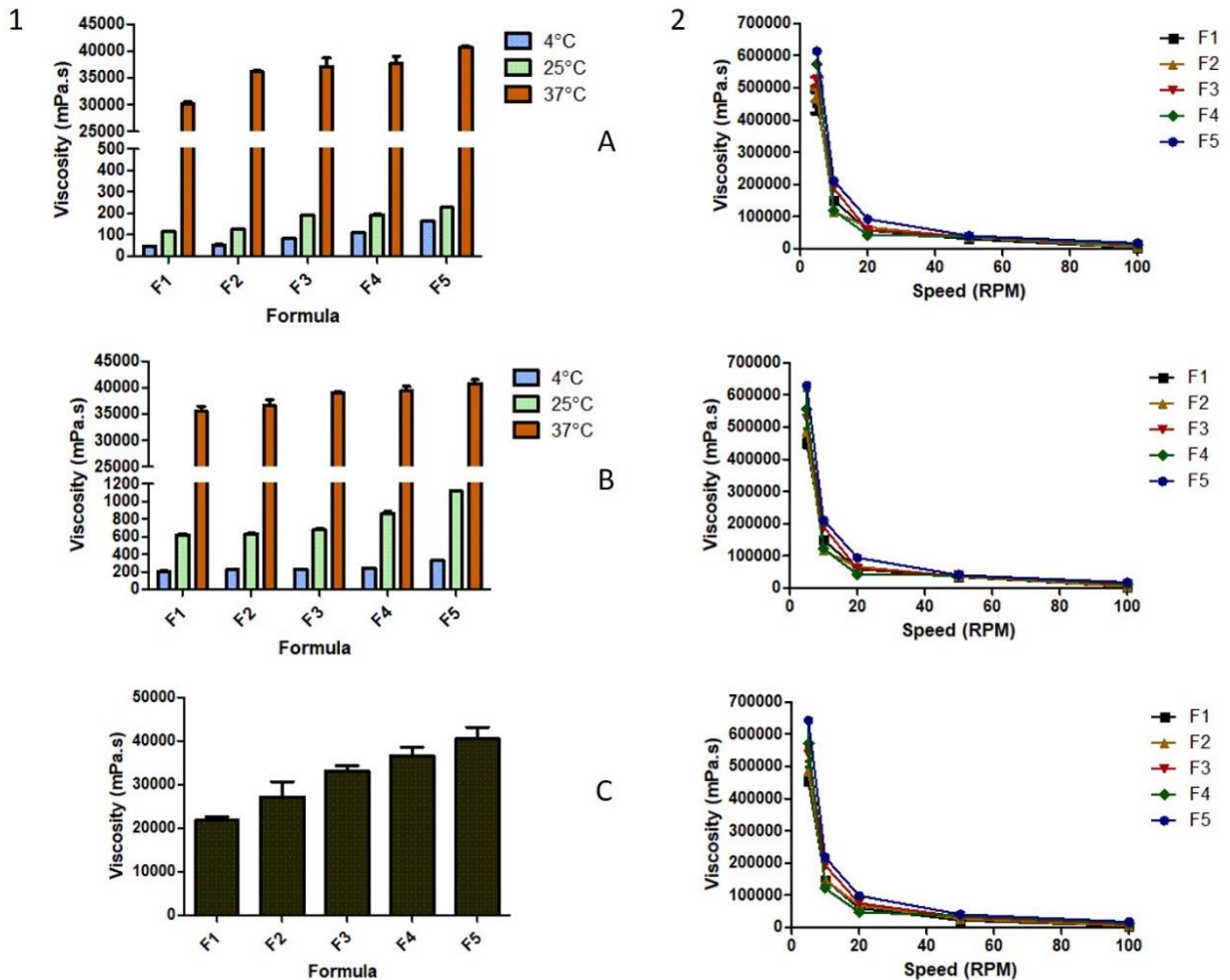
374 The pH value of CAB vaginal gels preparation should be in the appropriate range to prevent
 375 irritation on the vagina. The pH value of less than 4 can induce the growth of *Lactobacillus*
 376 *vaginalis* while the pH value of more than 8 can cause the vaginosis due to *cocci* bacteria (Miller
 377 et al., 2016). The results showed that the pH values of the gel preparation were between 4.73 and
 378 5.19 for thermosensitive gel; 6.26-7.20 for mucoadhesive gel; and 4.61-5.10 for combination of
 379 thermosensitive-mucoadhesive gel (**Table 4**). Based on these results, the pH of three types of
 380 vaginal gels were acceptable to be used for application in human vagina.

381
 382 **Table 4.** pH of CAB vaginal gels preparation (Means \pm SD, n=3)

Types of gel	pH				
	F1	F2	F3	F4	F5
Thermosensitive gel	4.73 \pm 0.11	4.81 \pm 0.15	4.96 \pm 0.15	5.05 \pm 0.14	5.19 \pm 0.12
Mucoadhesive gel	6.26 \pm 0.02	7.16 \pm 0.01	7.19 \pm 0.10	7.19 \pm 0.06	7.20 \pm 0.06
Combination of thermosensitive-mucoadhesive gel	4.61 \pm 0.37	4.71 \pm 0.17	4.87 \pm 0.10	5.03 \pm 0.17	5.10 \pm 0.19

385 3.3 Viscosity study and rheological properties

386 The viscosity of the thermosensitive and thermosensitive-mucoadhesive gel were
 387 performed in 3 various environment namely cold temperature (4°C), room temperature (25°C),
 388 and body temperature (37°C). This is due to the requirement of thermosensitive gel to have a free-
 389 flowing viscosity below the body temperature to provide easy administration of the vaginal gel
 390 and exhibit gel properties at body temperature to enhance the **localization** time. For mucoadhesive
 391 gel the test was performed at room temperature (25°C). It was found that the viscosity of
 392 mucoadhesive gel in body temperature were not statistically ($p > 0.05$) different compared to the
 393 values at room temperature. The results also showed an increase in gel viscosity with higher PEG
 394 400 concentration. It was important to note that the thermosensitive formulations showed low
 395 viscosity at cold and room temperature, indicating the liquid form of the formulations. All
 396 formulations exhibited high viscosity, which were more than 30,000 **mPa.s** at body temperature,
 397 implying the successful transformation of our approaches. All tested vaginal gels exhibit
 398 pseudoplastic behavior. The viscosity and rheological properties are depicted in **Figure. 2**.



399
 400 **Figure 2.** Viscosity (1) and rheological properties (2) of (A) thermosensitive gel, (B) thermosensitive-mucoadhesive
 401 gel, (C) mucoadhesive gel (Means \pm SD, n =3).

402
 403 **3.4 Gelation temperature test**

404 A tube inverting method was applied to determine the temperature when the liquid form
 405 turned into gel. **Figure. 1 (A&C)** depict the illustrative images of thermosensitive gel formulation
 406 at room temperature (liquid) and at body temperature (gel). The test was also carried out with and
 407 without dilution using SVF because it was critical to investigate the effect of vaginal fluid on the
 408 gelation temperature of the gel (Permana et al., 2021b). The results of gelation temperature test are
 409 shown in **Table 5**. The result showed that F1 for both thermosensitive and thermosensitive-
 410 mucoadhesive gel which did not contain PEG 400 turned into gel at \sim 35°C closest to body
 411 temperature (37°C) for both without and with dilution. It was found that the higher amount of PEG
 412 400 produced the lower $T_{sol-gel}$ due to strong hydrogen bond between Pluronic and PEG 400 (Bain

413 et al., 2013). In the thermosensitive gel, it was observed that there was no significant difference of
 414 $T_{sol-gel}$ among five formulations without dilution ($p > 0.05$). However, there was a significant
 415 difference of $T_{sol-gel}$ between F1 (not containing PEG 400) and F5 (containing 15% of PEG 400)
 416 with SVF dilution ($p < 0.05$). Furthermore, in the thermosensitive-mucoadhesive gel, it was found
 417 that $T_{sol-gel}$ values between F1 and F4, also F1 and F5 were significantly different both with and
 418 without SVF dilution. In this case, F4 and F5 contained 10% and 15% of PEG 400, respectively.
 419 On the other hand, PEG 400 was not added in F1. Therefore, it was important to consider the
 420 concentration of PEG 400 as a permeation enhancer in *in situ* gel formulations. Considering all the
 421 results, despite the difference in gelation temperature, it was confirmed that all formulations could
 422 turn into gel at the body temperature.

423 **Table 5.** Gelation Temperature of Vaginal Gel (Means \pm SD, n = 3)
 424

Formula	$T_{sol-gel}$ (Without Dilution)		$T_{sol-gel}$ (With Dilution)	
	Thermosensitive	Thermosensitive-Mucoadhesive	Thermosensitive	Thermosensitive-Mucoadhesive
F1	35.33 \pm 2.08	35.00 \pm 1.00	35.33 \pm 1.53	34.67 \pm 2.08
F2	33.33 \pm 2.08	33.67 \pm 1.15	32.33 \pm 2.52	32.00 \pm 2.00
F3	32.33 \pm 1.53	32.33 \pm 1.53	32.00 \pm 1.00	31.67 \pm 1.15
F4	32.00 \pm 2.00	31.33 \pm 1.15	31.33 \pm 1.53	30.33 \pm 0.58
F5	31.67 \pm 0.58	31.33 \pm 0.58	30.33 \pm 0.58	30.00 \pm 1.00

425
 426 **3.5 Drug content recovery**

427 Drug content analysis was carried out to determine the amount of CAB comprised in all three
 428 vaginal gel formulations. The results in **Table 6** showed that the drug content percentage in the
 429 three types of vaginal gel formulations was in the range of 98.61-100.17%. This showed that the
 430 method used in the gel fabrication could produce a homogeneous formulation. Furthermore, the
 431 drug content recovery also fulfilled the requirements set by ICH regarding the recovery percentage,
 432 which was in the range of 95-105% (Walfish, 2006). Therefore, it was confirmed that the amount
 433 of CAB in gel was not affected by the vaginal gel preparation process.

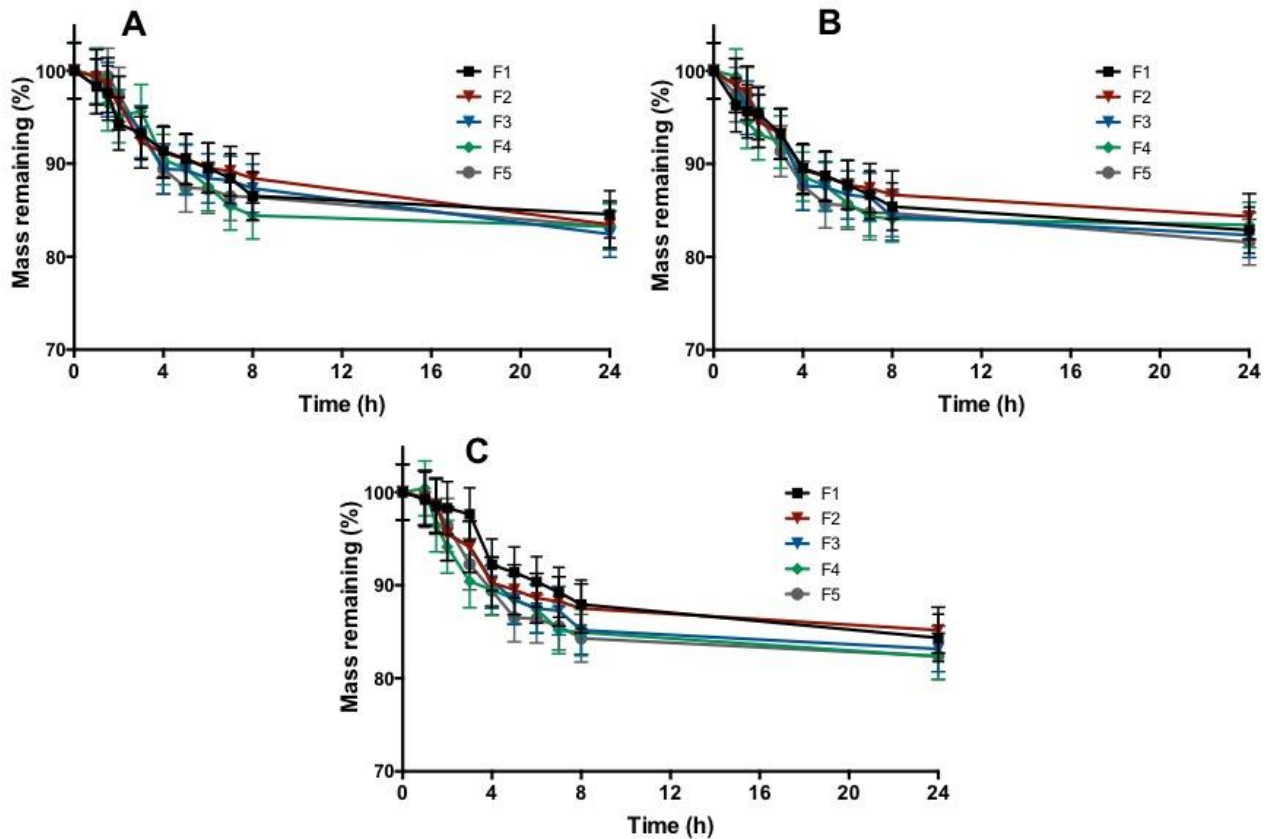
434
 435
 436
 437

438 **Table 6.** Drug Content Recovery of Vaginal Gel (Means \pm SD, n = 3)

Drug Content Recovery (%)			
Formula	Thermosensitive	Mucoadhesive	Thermosensitive Mucoadhesive
F1	98.70 \pm 2.21	100.07 \pm 0.82	98.80 \pm 1.83
F2	99.93 \pm 0.92	99.14 \pm 1.75	99.34 \pm 2.19
F3	99.68 \pm 1.14	98.66 \pm 1.25	100.17 \pm 1.77
F4	99.63 \pm 0.92	99.44 \pm 1.18	99.19 \pm 2.44
F5	99.29 \pm 1.71	98.80 \pm 2.44	98.61 \pm 1.84

446 **3.6 Gel erosion study**

447 It should be noted that conducting *in vitro* studies that are completely similar to *in vivo*
 448 environments is unlikely possible. However, the use of suitable media could be effective to
 449 evaluate the characteristics of the formulation developed. In this study, SVF was utilized to mimic
 450 the real condition after the administration of the gels into the vagina. The ability of the gels to
 451 resist from the erosion of vaginal fluid following their administration was then evaluated. As
 452 shown in **Figure 3**, despite the difference in erosion profiles, all formulations did not show any
 453 significant difference ($p < 0.05$), showing that more than 80% of the gels could resist the erosion
 454 from SVF. However, to further evaluate the residence time of the gels in the vaginal tissue, the
 455 mucoadhesive properties evaluations were then performed.



456
 457 **Figure. 3** Result of gel erosion study in (A) Thermosensitive gel, (B) Mucoadhesive gel, (C) Thermosensitive-
 458 mucoadhesive gel (Means \pm SD, n = 3).

459

460 3.7 Mucoadhesive strength and time

461 Vaginal gel preparations must have good mucoadhesive strength because it affects the
 462 contact time of the gel with the vaginal mucosa. The tests of mucoadhesive strength and time were
 463 conducted to determine the capability of the vaginal gel to adhere to the mucosa. In this study,
 464 three types of gels with different polymers, namely thermosensitive gel (Pluronic[®] F127 & F68),
 465 mucoadhesive gel (Carbopol 940), and thermosensitive-mucoadhesive gel (Pluronic[®] F127 & F68
 466 - HPMC) were tested. **Table 7** shows the mucoadhesive properties of each vaginal gel. The results
 467 of the three gels showed that regardless of the polymer, the addition of PEG 400 was able to
 468 increase the mucoadhesive properties of each gel with F1 < F2 < F3 < F4 < F5 Wang et al. (2008). PEG
 469 has been reported to possess mucoadhesive properties, which may be due to interpenetrating
 470 polymer network (IPN) effects between PEG chain and mucosa. There was no significant
 471 difference in the mucoadhesive time test of each gel formulation ($p > 0.05$). However, the results
 472 of the mucoadhesive strength test showed that F1 and F2 were not significantly different ($p > 0.05$)

473 in the thermosensitive gel formulation. The mucoadhesive gel formulations F1 & F2 and F4 & F5
474 also showed results that were not significantly different ($p > 0.05$). Furthermore, the
475 thermosensitive-mucoadhesive combination gel showed no significant difference ($p > 0.05$)
476 between F1 & F2, F2 & F3, and F4 & F5. Therefore, due to the less excipients used, in this test
477 the formulation of each vaginal gel showed that F4 was the optimum formulation with desired
478 mucoadhesive strength and time properties.

479 The **properties of** F4 formulations of each type of gel were then compared. Although the
480 results obtained showed that the mucoadhesive gel had the highest mucoadhesive value, the
481 statistical analyzed data showed that the mucoadhesive properties of the mucoadhesive gel and the
482 thermosensitive-mucoadhesive combination were not significantly different ($p > 0.05$).
483 Furthermore, the thermosensitive gel without mucoadhesive agent showed the lowest
484 mucoadhesive value compared to other gels. This was **because** Pluronic[®], the main polymer in the
485 thermosensitive gel, has lower mucoadhesive properties than Carbopol, HPMC, and several other
486 adhesive materials (Russo and Villa, 2019). Pluronic[®] F127/F68 combined with HPMC as a
487 thermosensitive-mucoadhesive gel combination polymer had a stronger hydroxyl interaction with
488 the mucus layer than without HPMC. Therefore, this combination showed better mucoadhesive
489 properties (Aka-any-grah et al., 2010). Then, the mucoadhesive gel polymer, namely Carbopol,
490 showed higher mucoadhesive properties than other gel polymers used in this study. Carbopol has
491 higher mucoadhesive properties than HPMC **because** HPMC, with its non-ionic nature, has lower
492 hydrogen bonding ability on mucous membranes (Dhawale et al., 2018).

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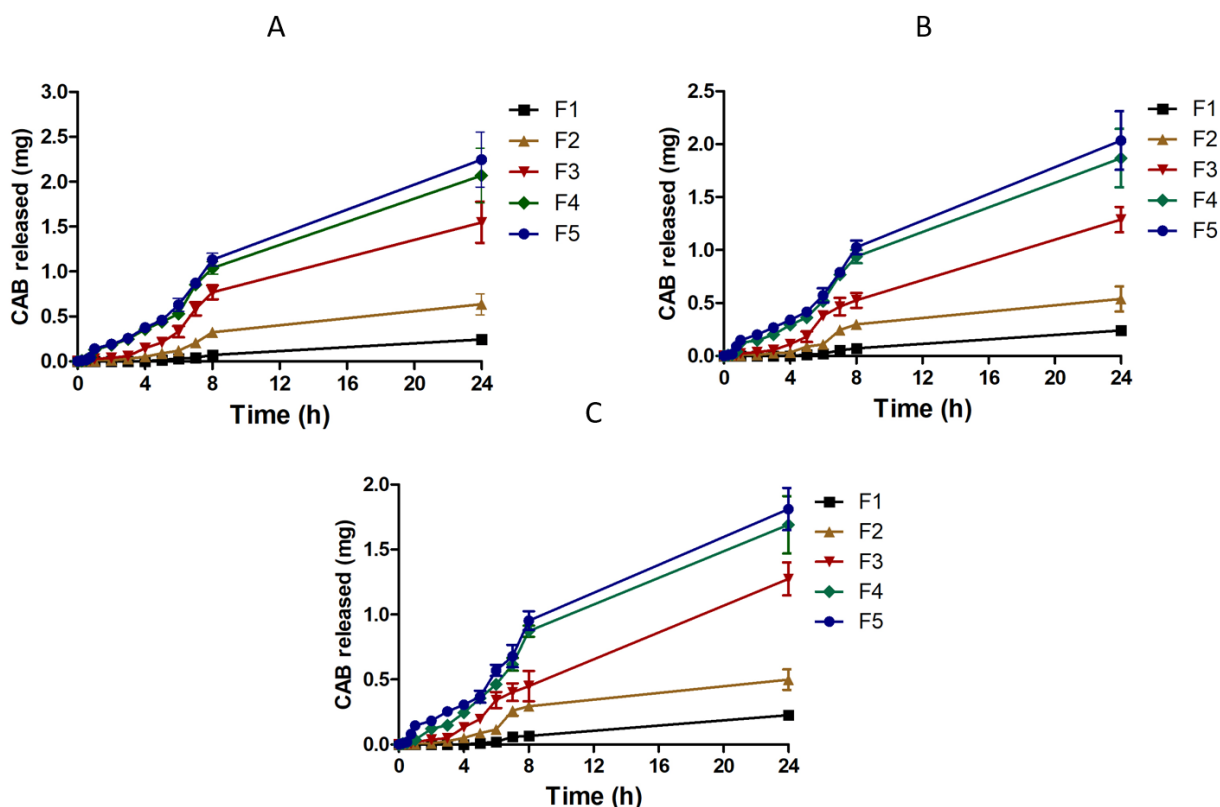
Table 7. Mucoadhesive Strength and Time of Vaginal Gel (Means \pm SD, n = 3)

Formula	Mucoadhesive Strength (N/m ²).100			Mucoadhesive Time (h)		
	Thermosensitive	Mucoadhesive	Thermosensitive-Mucoadhesive	Thermosensitive	Mucoadhesive	Thermosensitive-Mucoadhesive
F1	3.1 \pm 0.5	14.8 \pm 2.6	10.9 \pm 1.6	0.99 \pm 0.20	8.17 \pm 0.15	8.04 \pm 0.23
F2	3.5 \pm 0.3	15.5 \pm 8.8	14.5 \pm 1.0	1.22 \pm 0.09	8.28 \pm 0.10	8.12 \pm 0.16
F3	6.1 \pm 0.7	20.0 \pm 7.1	16.1 \pm 1.7	1.54 \pm 0.21	8.29 \pm 0.09	8.21 \pm 0.11
F4	8.8 \pm 0.7	23.7 \pm 0.4	21.5 \pm 2.6	1.92 \pm 0.05	8.31 \pm 0.10	8.26 \pm 0.07
F5	11.1 \pm 0.9	25.4 \pm 9.7	23.3 \pm 1.8	1.95 \pm 0.06	8.32 \pm 0.13	8.28 \pm 0.15

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3.8 *In vitro* drug release

The *in vitro* drug release profile of each vaginal gel is illustrated in **Figure 4**. In the formulation of each vaginal gel, various concentrations of PEG 400 were used. PEG 400 was selected because of its safety and tolerance when administered to the body, especially through the vaginal route, **which** has become one of the FDA-approved ingredients for drug delivery systems (Zhang et al., 2020). **This study showed that after 24 hours, the use of PEG could increase the release of CAB from all formulations.** Analyzed statistically, from each of these vaginal gels, **the CAB released from F1 and F2 were not significantly different ($p > 0.05$).** **The similar trend was also observed in F4 and F5.** In this case, because F4 and F5 were not significantly different ($p > 0.05$), and therefore, to minimize the use of excipient, F4 with PEG 400 10% was chosen as the optimum formulation. Drug release of the selected formulation (F4) of each vaginal gel was then compared using statistical analysis. The analysis results showed that the release of CAB from the three gels was also not significantly different ($p > 0.05$). Specifically, after 24 h, the drugs released from each vaginal gel were 2.06 ± 0.30 mg, 1.86 ± 0.27 mg, 1.69 ± 0.22 mg for the thermosensitive, mucoadhesive, and thermosensitive-mucoadhesive gel, respectively.

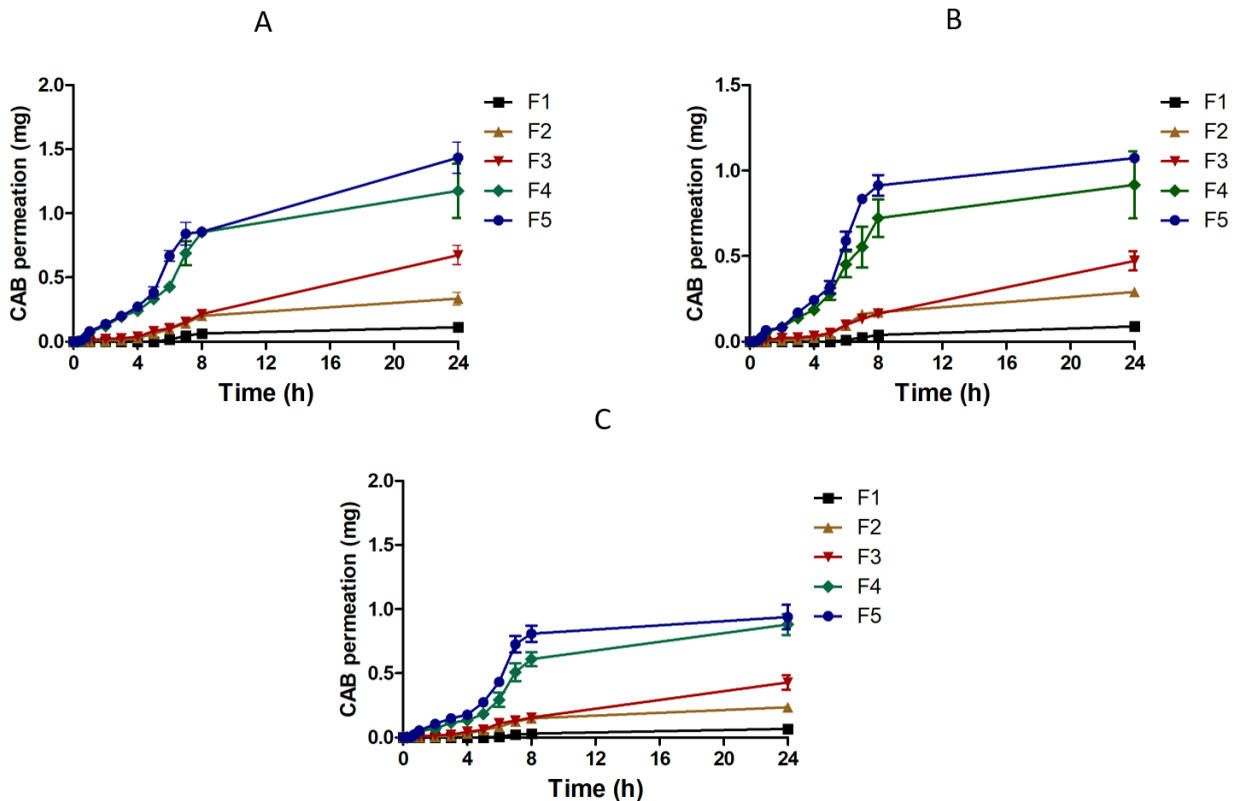


515
 516 **Figure. 4** *In vitro* release profile of (A) Thermosensitive gel, (B) Mucoadhesive gel, (C) Thermosensitive-
 517 mucoadhesive gel (Means \pm SD, n = 3).

518
 519 **3.9 *Ex vivo* permeation test**

520 *Ex vivo* permeation profiles of CAB in different types of vaginal gel was carried out using
 521 porcine vaginal mucosa over 24 hours. The results obtained are depicted in **Figure. 5**. After 24
 522 hours, F4 and F5 from each type of gels showed highest permeation profile compared to other
 523 formulations. An amount of 1.18 ± 0.21 mg and 1.43 ± 0.12 mg of CAB were permeated from F4
 524 and F5 thermosensitive gels, respectively. On the other hand, CAB permeated from F4 and F5
 525 mucoadhesive gels were 0.92 ± 0.20 mg and 1.07 ± 0.02 mg, while from F4 and F5
 526 thermosensitive-mucoadhesive gels were 0.88 ± 0.08 mg and 0.94 ± 0.1 mg, respectively. It can
 527 be clearly observed that the amount of CAB permeated from F1, F2, and F3 were lower compared
 528 to F4 and F5. This was due to F1, F2, and F3 contained lower concentration of PEG 400. As it was
 529 reported that PEG 400 was able to enhance dissolution of drug, higher concentration of PEG 400
 530 led to higher dissolution rate of CAB, which culminated in more amount of CAB permeated to the
 531 receptor compartment (Dyja and Jankowski, 2017). The data obtained were statistically analyzed,

532 and there was no significant difference between F4 and F5 ($p > 0.05$). Thus, F4 was chosen as the
 533 optimum formula in all types of vaginal gels due to the less amount of excipient used while still
 534 providing high amount of permeated CAB. Comparison between 3 types of vaginal gels was also
 535 carried out, resulting in no significant difference of CAB permeation ($p > 0.05$) after 24 h.
 536 Furthermore, it was extremely important to evaluate the CAB permeated based on the
 537 mucoadhesive time of the formulations. Considering the mucoadhesive time, CAB permeated from
 538 thermosensitive gels (F4) possessing mucoadhesive time of less than 2 h was only 0.12 ± 0.009
 539 mg. On the other hand, with mucoadhesive times of more than 8 h, CAB permeated from
 540 mucoadhesive and thermosensitive-mucoadhesive gels were found to be 6-folds, which were 0.61
 541 ± 0.05 mg and 0.72 ± 0.11 mg, respectively. Accordingly, although all formulations showed non-
 542 significant different permeation after 24 h, following the consideration of mucoadhesive time,
 543 mucoadhesive and thermosensitive-mucoadhesive gels were more effective to deliver CAB
 544 through the vaginal mucosa.

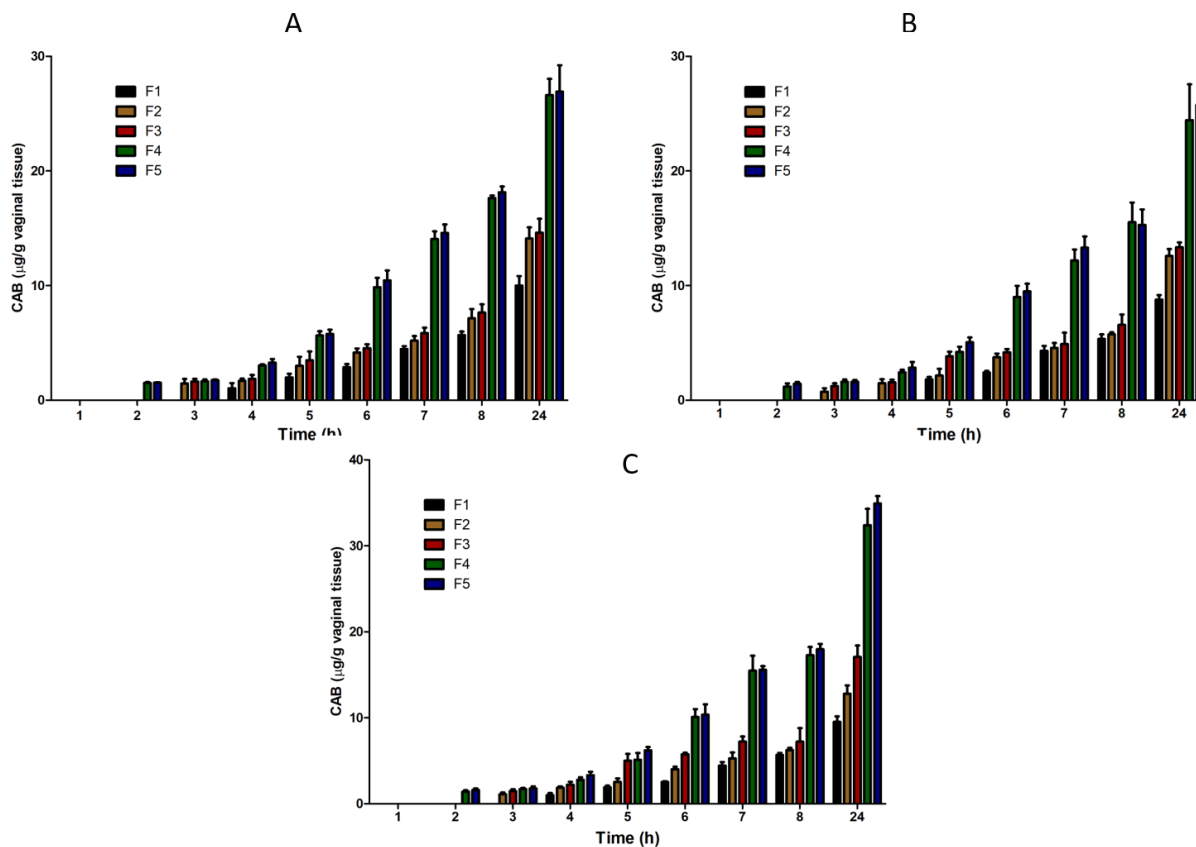


545
 546 **Figure 5.** *Ex vivo* permeation profile of (A) Thermosensitive gel, (B) Mucoadhesive gel, (C) Thermosensitive-
 547 mucoadhesive gel (Means \pm SD, n = 3).

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549 3.10 *Ex vivo* retention test

550 *Ex vivo* retention study was carried out to investigate the amount of CAB localized in the
551 vaginal tissue following the application of the vaginal gels. It can be seen that thermosensitive-
552 mucoadhesive gel retained higher amount of CAB compared to other types of vaginal gels (**Figure**
553 **6**). This might be due to combination of Pluronic F127-F68 and HPMC, resulting in a stronger
554 hydroxyl interaction with the mucus layer (Aka-any-grah et al., 2010). Carbopol which is presence
555 as polymer in mucoadhesive gel had carboxyl groups that bind strongly through a hydrogen bond
556 with the oligosaccharide chain of mucin (Bera et al., 2015). While Pluronic had lower
557 mucoadhesive properties compare to other mucoadhesive polymer (Russo and Villa, 2019).
558 However, in this study it was shown that thermosensitive gel exhibited higher retention than
559 mucoadhesive gel. After 24 hours, the amount of CAB retained in the vaginal mucosa were
560 calculated to be 26.62 ± 1.42 , 24.43 ± 3.13 , 32.40 ± 1.91 $\mu\text{g/g}$ vaginal tissue for F4 thermosensitive,
561 mucoadhesive, thermosensitive-mucoadhesive gel, respectively. As per *ex vivo* permeation study,
562 the concentrations of CAB retained in the vaginal tissue were also investigated based on their
563 mucoadhesion time. According to their mucoadhesion times in the vaginal tissue, the amount of
564 CAB retained were found to be 1.5 ± 0.08 , 17.28 ± 0.95 , 15.29 ± 1.34 $\mu\text{g/g}$ vaginal tissue for F4
565 thermosensitive, mucoadhesive, thermosensitive-mucoadhesive gel, respectively. Therefore,
566 mucoadhesive and thermosensitive-mucoadhesive gels were found to be more effective to increase
567 the concentration of CAB in vaginal mucosa. Recent study investigating the delivery of CAB in
568 long-acting implant formulation for HIV prevention was carried out by Karunakaran *et al*
569 (Karunakaran et al., 2021). Administered subcutaneously, they were able to deliver 350 $\mu\text{g/day}$ of
570 CAB to the systemic circulation. Despite this promising result, following the quantification of
571 CAB in rectal and vaginal tissues, only less than 0.2 $\mu\text{g/g}$ and no CAB reported were found in
572 rectal and vaginal tissues, respectively. With this in mind, vaginal delivery of CAB could be an
573 alternative approach to improve the concentration of CAB in the vaginal tissue.



574
 575 **Figure 6.** Ex vivo retention of (A) Thermosensitive gel, (B) Mucoadhesive gel, (C) Thermosensitive-mucoadhesive
 576 gel (Means \pm SD, n = 3).

577 **3.1.1** *In vitro* hemolytic activity

578 Hemolytic activity test was carried out using Wistar rats to study the initial toxicity (Greco et al.,
 579 2020) of CAB against erythrocytes. The results obtained are shown in **Table 10**, and **Figure 7b**.
 580 It can be clearly seen that all types of the prepared vaginal gels exhibited less than 5% of hemolysis
 581 values in all concentrations tested. It has been previously reported that the recommended value of
 582 the hemolysis index is less than 5%. Within this limit, the compounds tested are considered safe
 583 (Zhou et al., 2011). Therefore, based on the results obtained, it clearly indicated that CAB and
 584 CAB vaginal gels would be safe to use at the tested concentration.

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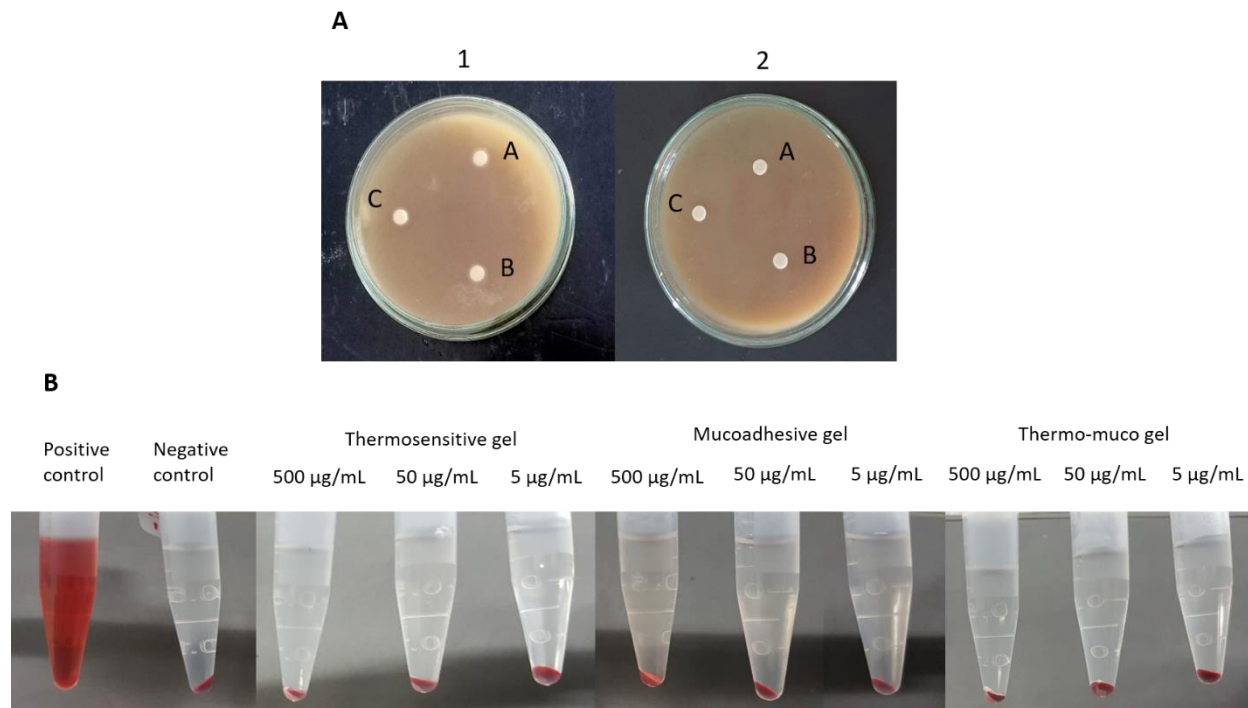
591 **Table 10.** Hemolytic Activity of CAB and CAB Vaginal Gels (Means \pm SD, n = 3)

Concentration ($\mu\text{g/ml}$)	Hemolysis (%)			
	CAB	Thermosensitive	Mucoadhesive	Thermosensitive- Mucoadhesive
500	2.40 \pm 0.07	0 \pm 0.09	0 \pm 0.12	0 \pm 0.36
50	0.29 \pm 0.09	1.39 \pm 0.27	0 \pm 0.20	0.08 \pm 0.09
5	0.49 \pm 0.21	0 \pm 0.15	0 \pm 0.10	0.41 \pm 0.21

592

593 **3.12 *In vitro* Lactobacillus inhibition evaluation**

594 *Lactobacilli* are the major source of L-lactic acid and D-lactic acid, which at physiological
595 concentrations acidify vaginal secretions (to pH levels $<$ 4), enhancing the protective activities of
596 H_2O_2 and bacteriocins, and inhibiting the opportunistic infections (Amabebe and Anumba, 2018).
597 Therefore, it was important to consider that the application of vaginal dosage forms should not
598 disturb the population of this bacteria. The results of the antimicrobial activity test are presented
599 in **Figure 7**. It was clear that no inhibitory zone was formed in all tested samples, indicating no
600 antimicrobial activity exhibited by the formulated gel against *Lactobacilli*. It can be inferred that
601 the CAB vaginal gels did not inhibit the growth of the vaginal microbiota (*Lactobacilli*). **Some**
602 **studies have reported the successful delivery of several *Lactobacillus* strains in vaginal gels.**
603 **Vigani *et al* developed vaginal in situ gel containing *Lactobacillus gasseri* (Vigani *et al.*, 2019).**
604 **Furthermore, Gnaman *et al* formulated vaginal gel of *Lactobacillus crispatus* to treat gonorrhoea**
605 **(N'Guessan Gnaman *et al.*, 2020). Both studies showed that the formulation of *Lactobacillus***
606 **strains in vaginal gels was able to preserve the viability of *Lactobacillus* in the gel formulations.**
607 **Therefore, as our formulations only contact with *Lactobacilli* at the time of application, it could**
608 **be ensured that the viability of these bacteria would not be affected following the application of**
609 **our approaches.**

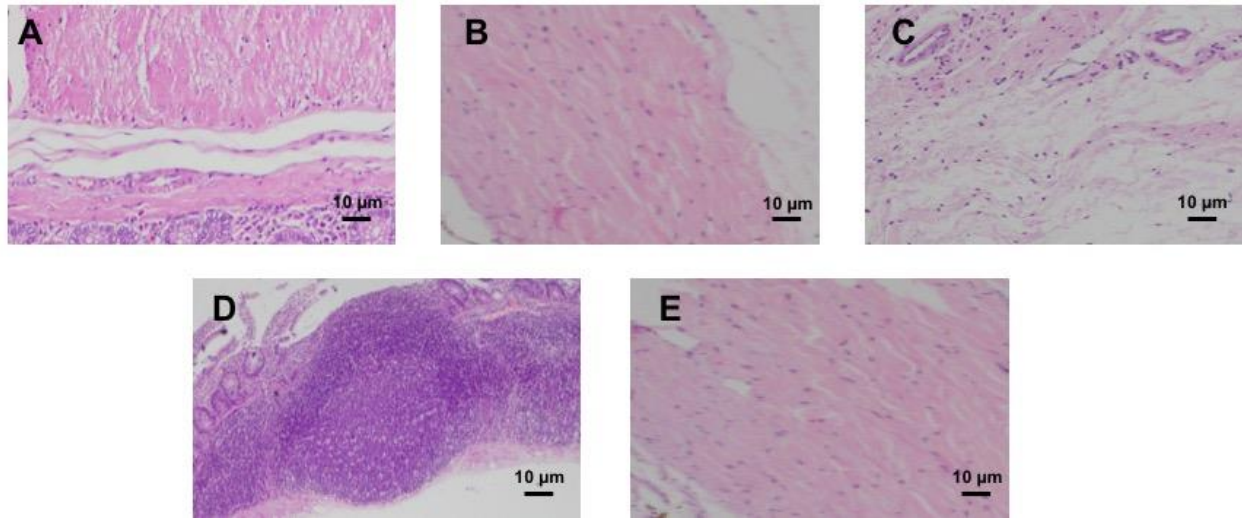


610
 611 **Figure 7.** (A) In vitro antimicrobial activity of (1) blank vaginal gel and (2) vaginal gel containing CAB (A),
 612 thesensitive gel, (B) mucoadhesive gel, and (C) thesensitive-mucoadhesive gel. (B) Result of hemolytic activity
 613 test.

614

615 3.13 Irritation and histopathological studies

616 Following the promising results obtained from hemolytic assay and *Lactobacillus* inhibition assay,
 617 the *in vivo* irritation effect of the gels was further assessed by observing the histology of the vaginal
 618 tissues of the rats following the application of the vaginal gels. It was important to consider
 619 irritability as a safety factor in the vaginal drug delivery system. The results of histopathological
 620 study are depicted in **Figure 8**. As observed, there was no congestion, infiltration and edema in
 621 the vaginal tissue following the application of gel formulations. Similar results were also exhibited
 622 by the negative control group. On the other hand, in the positive control group, epithelial thinning,
 623 edema, erosion, and infiltration were observed. Accordingly, the application of the prepared
 624 vaginal gel formulations was considered to be safe for vaginal delivery.



625
 626 **Figure 8.** Histopathological result of (A) thermosensitive gel, (B) mucoadhesive gel, (C) thermosensitive-
 627 mucoadhesive gel, (D) positive control, and (E) negative control.

628
 629 Based on the outcomes obtained in this study, it is imperative that the development of CAB into
 630 vaginal dosage form could be beneficial for HIV treatment and prevention as this route could
 631 potentially deliver CAB through vaginal tissue **to reach systemic circulation** and localize the
 632 concentration of CAB in the vaginal. In this study, as discussed, we developed three types of
 633 vaginal gels. Due to their mucoadhesive properties, mucoadhesive gels and the combination of
 634 thermosensitive and mucoadhesive gels showed promising delivery profiles compared to
 635 thermosensitive gels. Furthermore, considering the easy administration of liquid form to the
 636 vaginal cavity, the combination of thermosensitive and mucoadhesive gels possessed desired
 637 characteristics to provide convenience administration to the patients. Following this study, *in*
 638 *vivo* studies must now be carried out to evaluate the pharmacokinetic profiles of CAB following
 639 vaginal delivery of this approach. Importantly, the pharmacokinetic profiles of CAB by this
 640 approach should also be compared to injection and oral administration as the currently available
 641 delivery routes of CAB. In addition, acceptability and usability in humans should be evaluated
 642 prior to its use in clinical applications.

643
 644 **4. Conclusion**

645 In this study, CAB was formulated into three different types of vaginal gels, namely
 646 thermosensitive gel, mucoadhesive gel, and thermosensitive-mucoadhesive gel. several evaluation
 647 parameters were carried out to determine the optimum formulations of CAB vaginal gels.

648 Thermosensitive-mucoadhesive vaginal gel, prepared using PF127-PF 68-HPMC, was found to be
649 the optimum dosage form providing ease administration of CAB due to the pseudoplastic flow of
650 the gel. Additionally, this formulation was also able to exhibit prolonged retention time in vaginal
651 mucosa after 8 hours. Furthermore, the use of PEG 400 as permeation enhancer improved the
652 permeation and retention of CAB in the vaginal tissue in *ex vivo* studies. These findings showed
653 that our approach could be potential to deliver CAB through vaginal mucosa for HIV treatment in
654 the future. Moving forward, *in vivo* experiment is essential to be carried out in the future to
655 investigate the pharmacokinetic profiles of CAB using suitable animal models.

656

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662

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The Editor
International Journal of Pharmaceutis

September 28, 2021

Dear Juergen Siepmann, PhD,

I wish you to consider our manuscript for publication in **International Journal of Pharmaceutis**. Following the reviewer comments, we have changed our title from “**Development of novel thermosensitive and mucoadhesive gels of cabotegravir for enhanced permeation and retention profiles in vaginal tissue: A proof of concept study**” to “**Development of thermosensitive and mucoadhesive gels of cabotegravir for enhanced permeation and retention profiles in vaginal tissue: A proof of concept study**”.

Importantly, we have addressed all comments from all reviewers as shown in “Response to Reviewer” file. Also, we have re-checked the manuscript thoroughly and made significant changes to the grammatical and English errors.

The manuscript has not been previously published in any language anywhere and it is not under simultaneous consideration by another journal. We have no conflicts of interest.

We appreciate your attention. We hope you will now consider publishing our research in **International Journal of Pharmaceutis** and look forward to hearing from you in due course.

Yours Sincerely,

Andi Dian Permana (on behalf of all authors)
Faculty of Pharmacy, Hasanuddin University, Indonesia
Email: andi.dian.permana@farmasi.unhas.ac.id

Ms. Ref. No.: IJPHARM-D-21-02343

Title: Development of novel thermosensitive and mucoadhesive gels of cabotegravir for enhanced permeation and retention profiles in vaginal tissue: A proof of concept study
International Journal of Pharmaceutics

Response to Reviewers

We are very thankful to the reviewers for taking the time to provide helpful comments for improvements to our manuscript. We have addressed each of the reviewers' comments in detail below.

Reviewers' comments:

Reviewer #1:

1. Abstract

-Should be shortened and written more carefully.

Response to Reviewer

We thank the reviewer for this valuable suggestion. We have shortened our abstract and written it more carefully.

-Line 22, in vaginal tissue in woman patient. Use "of" instead of second "in".

Response to Reviewer

We have corrected this.

-Line 25, mucoadhesive gel and the combination of thermosensitive and mucoadhesive gel were developed. The corrected sentence is "mucoadhesive gel, and the combination of these gels were developed". Don't use extra words in the Abstract because it's already long. Also, when three or more examples are lined up in a row before the last one, use "and" and put comma before the "and". There are similar mistakes through the manuscript. Please check it.

Response to Reviewer

We thank the reviewer for these suggestions. Following the suggestions, we have corrected the sentences. Importantly, we have re-checked the manuscript thoroughly and made significant changes to the mistakes.

-Line 31, not studies, you can say properties.

Response to Reviewer

We have corrected this.

-Line 33, not vaginal, it should be "vagina"

Response to Reviewer

We have corrected this.

-Line 36, put comma before "and".

Please check all the manuscript because there are similar mistakes through it. It's causing some meaning problems. The manuscript should be read carefully again to avoid all grammar, meaning, and punctuation errors.

Response to Reviewer

We thank the reviewer for these suggestions. Following the suggestions, we have re-checked the manuscript thoroughly and made significant changes to the grammatical errors.

2. Material and Methods

-Line 205, give reference for 276 nm.

Response to Reviewer

We thank the reviewer for the suggestion. In our study, we performed UV scanning for CAB to determine the maximum wavelength of CAB. The detection was performed at the maximum wavelength of CAB, which was 276 nm. We have explained this in the revised manuscript.

-Line 228, 2 should be given as superscript

Response to Reviewer

We have corrected this.

-Why the researchers added 20% v/v methanol to SVF for drug release test? Also, they should give a reference.

Response to Reviewer

We thank the reviewer for the question. The release study was carried out using a dialysis method with SVF containing 20% v/v methanol as the release medium. Our preliminary study

showed that the addition of 20% v/v methanol in SVF could maintain sink condition during the experiment. We have explained this in the revised manuscript in Section 2.13 (Line 256-257).

-In ex vivo permeation study, isn't there any solution in donor compartment? The receptor compartment is filled with PBS and donor compartment is filled with SVF to mimic vaginal environment. In this study, SVF was filled in the receptor compartment? Why? Can you please give a reference? The reference you gave is belong this article "<https://pubs.acs.org/doi/10.1021/acsami.1c03422>" and they did how I explained above.

Response to Reviewer

We thank the reviewer for the comments. We apologize for the unclarity in our manuscript. In our study, the receptor compartment was filled with SVF, and the donor compartment was filled with the formulation. The reference cited also used this method in their *ex vivo* vaginal permeation study (Permana et al., 2021). Moreover, several studies also used the same method where SVF was placed in the receptor compartment and the formulation was placed in the donor compartment (Mirza et al., 2013; Singh et al., 2017; Tuğcu-Demiröz et al., 2013). We have clarified this in the revised manuscript in Section 2.14 (Line 270-273).

-Line 271, 0 should be given as subscript.

Response to Reviewer

We have corrected this.

-Ethical approval number should be given under the title " 2.19 Irritation and histopathological studies"

Response to Reviewer

We thank the reviewer for pointing this out. We have included the ethical approval number in the *in vivo* study (Section 2.18.1, Line 319).

-Which methods did you use for the statistical analysis should be given under the title " 2.20 Statistical analysis", not only the program name.

Response to Reviewer

We thank the reviewer for the question. We have included the methods that we used for the statistical analysis in the revised manuscript (Section 2.19, Line 346-348).

3. Results and Discussion

-The grey lines around the figures in Figure 2 should be removed.

Response to Reviewer

We have corrected this.

-Line 383 and 388, remove the comma

Response to Reviewer

We have corrected this.

-Line 393, not \pm , it should be \sim

Response to Reviewer

We have corrected this.

Highlights should be added.

Response to Reviewer

Following the suggestion, we have added highlights in the revised manuscript.

Reviewer #2:

The aim of this work was to prepare and characterize a thermosensitive and mucoadhesive gel intending for the vaginal administration of cabotegravir. This study is interesting from a pharmaceutical standpoint as this kind of system might constitute a valuable strategy in the treatment of HIV. However, I think that the context, the experimental part and the discussion should be strengthened before publication in International Journal of Pharmaceutics. The authors consider the combination of Pluronic F127, Pluronic F68 and HPMC. This combination is not completely "novel" as it was already used for vaginal administration of other active substances by several groups including some of the authors of the present manuscript. The authors should also mention that such combination was previously described for the formulation of vaginal hydrogels containing an anti HIV microbicide (Bouchemal et al, International Journal of Pharmaceutics, 2013, 454, 649-652). I suggest that they also compare and discuss their strategy to the one recently proposed by Kuranakan et al (Design and testing of cabotegravir implant for HIV prevention, Journal of Controlled Release, 2021, 330, 658-668). Moreover, I think that very important experimental details were not provided, which compromises the quality of the paper.

Response to Reviewers

We are very thankful to the reviewers for taking the time to provide helpful comments for improvements to our manuscript. We are grateful that the reviewer thinks that our work is interesting from a pharmaceutical standpoint. Following the suggestion from the reviewer, the experimental part and the discussion have been strengthened by providing detailed information about the experimental and compared the results with the other studies. Indeed, the combination of Pluronic F127, Pluronic F68 and HPMC was already used for vaginal administration of other drugs. Accordingly, we have also changed our title from "Development of novel thermosensitive and mucoadhesive gels of cabotegravir for enhanced permeation and retention profiles in vaginal tissue: A proof of concept study" to "Development of thermosensitive and mucoadhesive gels of cabotegravir for enhanced permeation and retention profiles in vaginal tissue: A proof of concept study".

We have also included the research from Bouchemal *et al*, International Journal of Pharmaceutics, 2013, 454, 649-652 in our introduction (Bouchemal et al., 2013) (Line 84-87).

Moreover, we have discussed and compared our results with the recent study by Kuranakan *et al* (Design and testing of cabotegravir implant for HIV prevention, Journal of Controlled Release, 2021, 330, 658-668) (Karunakaran et al., 2021) (Line 566-572). We believe that our revised manuscript has now been substantially improved.

More specific comments and questions on the manuscript which require special attention of the authors are given below.

- In the material section, several chemicals are missing: HPMC (grade?), Carbomer, DMDM Hydantoin (and not Hidantoin).

Response to Reviewer

Following the reviewer suggestion, we have included the details of HPMC, Carbomer and DMDM Hydantoin in the revised manuscript (Line 141-142).

- pH determination: did the author use an electrode suited for viscous formulations?

Response to Reviewer

We thank the reviewer for the question. In this study, we used electrode suited for viscous formulation. We have added the detail of pH meter used in the revised manuscript.

- A Brookfield viscometer does not allow an absolute determination of the viscosity. It only provides comparative values and allows comparison of formulations studied under identical experimental conditions (spindle, sample volume and container, speed, time spent at each speed...). All these conditions should be provided.

Response to Reviewer

We thank the reviewer for the suggestion. Therefore, we have included the detailed conditions of the viscosity measurement using A Brookfield viscometer in the revised manuscript (Section 2.7, Line 195-197).

- The determination of the sol-gel transition temperature by the tube inversion method is not very precise and nowadays rheological methods relying on the determination of the elastic and viscous moduli should be preferred and can be available through collaborations.

Response to Reviewer

We thank the reviewer for the valuable comment. However, by performing the experiment carefully, we believe that this method is precise and reliable. Our results showed that the RSD values obtained were low, indicating the reproducibility of this method. Importantly, according to the gelation temperature results, we also observed that all formulations turned into gel in the *in vitro* and *ex vivo* studies. This method has also been used in several studies published in numerous reputable journals (Argenta et al., 2021; Wei et al., 2020; Zhang et al., 2021). Accordingly, we could ensure that the determination of the sol-gel transition temperature by the tube inversion can be applied to obtain precise results.

- Please provide the details of the UV-Vis spectrophotometric method used to assess the concentration of drug or give a reference.

Response to Reviewer

We thank the reviewer for the suggestion. In our study, we performed UV scanning for CAB to determine the maximum wavelength of CAB in various solvents and matrices. In the drug content recovery measurement, the calibration curve of CAB was prepared in methanol with the concentration range between 0.5 and 16 µg/mL. The detection was carried out at the maximum wavelength of CAB in methanol which was 276 nm. In this *ex vivo* permeation study, the calibration curve of CAB was prepared in SVF containing 20% v/v methanol with the concentration range between 0.5 and 16 µg/mL. The detection was performed at the maximum wavelength of CAB in SVF containing 20% v/v methanol which was 278 nm. In the *ex vivo* retention study, the calibration curve of CAB was prepared in vaginal tissue matrices with the concentration range between 1 and 32 µg/mL. The detection was performed at the wavelength where there was no interference of vaginal tissue which was 305 nm. We have explained this in the revised manuscript (Section 2.10, Line 217-219; Section 2.13, Line 263-266; Section 2.15, Line 283-286).

- What is the surface area of the porcine vaginal mucosa exposed to the formulation in the mucoadhesive test?

Response to Reviewer

We thank the reviewer for the question. The surface area of the porcine vaginal mucosa was 4.5 cm². We have included this information in the revised manuscript (Section 2.12.2, Line 235).

- What are the characteristics the membrane used for the dialysis method (material, cut-off?)

Response to Reviewer

We thank the reviewer for the question. In this study, the in vitro release study was carried out using dialysis membrane (Spectra-Por[®], 12,000 - 14,000 MWCO dialysis membrane). We have included this information in the revised manuscript (Section 2.13, Line 258-259).

- What is the surface area of the Franz cell and the volume of the receptor compartment?

Response to Reviewer

We thank the reviewer for the question. The surface area of the Franz cell was 4.9 cm². We have included this information in the revised manuscript (Section 2.14, Line 270).

- Please provide the strain(s) and origin of the lactobacilli used (*Lactobacillus gasseri*, *Lactobacillus crispatus*, *Lactobacillus jensenii*, ...?), concentration of lactobacilli in MRSB... Results might be strain specific.

Response to Reviewer

We thank the reviewer for the question. In this study, we used *Lactobacillus acidophilus* (ATCC 53544) with a final concentration of 10⁶ colony-forming units (CFU)/mL in MRSB. We have included this information in the revised manuscript (Section 2.17, Line 306 and 308).

- What is the number of animals per group in the in vivo study?

Response to Reviewer

We thank the reviewer for the question. In this study, we used 5 animals per group. We have included this information in the revised manuscript (Section 2.18.1, Line 320).

- For every experiments the authors should provide the number of measurements performed on each system in the material and methods section.

Response to Reviewer

We thank the reviewer for the suggestion. Following the suggestion, we have provided the number of measurements performed in all methods in the revised manuscript.

- Do not use the obsolete cgs units but the International System of Units (for viscosity values Cps (cP) should be replaced by values in mPa.s or Pa.s; for mucoadhesive test dyne/cm² should be replaced by values in N/m² or Pa)

Response to Reviewer

We thank the reviewer for the suggestion. Following the suggestion, we have changed International System of Units in the revised manuscript.

- Line 373: Please correct "Localzation time"

Response to Reviewer

We have corrected this.

- I suggest to provide the values of the different parameters obtained from the mathematical modelling of the permeation experiments and not only correlation coefficients (maybe as supplementary data).

Response to Reviewer

We thank the reviewer for the suggestion. Following the comment from the Editor, we realized that the profiles showed biphasic manner where the mathematic models cannot be applied. Accordingly, we have removed the mathematical model analysis in the revised manuscript.

- The values and their standard deviation reported in Table 5, 6 and 7 are given with too many digits: the measurements cannot be performed with such an accuracy. Besides, these results are based on 3 replicates only. For instance, in Table 7, $(3.1 \pm 0.5) \cdot 10^3$ dyne.cm⁻² would be more realistic and consequently more correct than " 3078.53 ± 513.08 dyne.cm⁻²".

Response to Reviewer

Following the reviewer suggestion, we have corrected this in the revised manuscript.

- The authors concluded (section 3.13) that the "CAB vaginal gel did not inhibit the growth of the vaginal microbiota (Lactobacilli)". What about the viability of Lactobacilli when they will be completely covered or mixed with the gel as for a vaginal administration? The authors could enrich their discussion looking for instance at the following articles N'Guessan et al, International Journal of Pharmaceutics, 2020, 588, 119733 and Vigani et al, Pharmaceutics, 2019, 11, 511.

Response to Reviewer

We thank the reviewer for the valuable suggestion. Following the reviewer suggestion, we have enriched our discussion by including the results from N'Guessan et al, International Journal of Pharmaceutics, 2020, 588, 119733 (N'Guessan Gnaman et al., 2020) and Vigani et al, Pharmaceutics, 2019, 11, 511 (Vigani et al., 2019) in the revised manuscript. We have believe that our revised manuscript has now been substantially improved (Section 3.12, Line 600-608).

Comments from the editor:

1) Please carefully check the English throughout the manuscript, e.g.

“To overcome these issues, for the first time, we formulated CAB into three various types of vaginal gels.”

“This study showed that after 24 hours, the release of CAB from all formulations increased following the increased of PEG concentration.”

“...the formulations between F1 & F2 and F4 & F5 showed no significant difference...”

One of the co-authors is a native English speaker.

Response to Editor

We thank the Editor for these suggestions. Following the suggestions, we have corrected the sentences. Importantly, we have re-checked the manuscript thoroughly and made significant changes in the English. We believe that the English of the revised manuscript has now been improved.

2) Please increase the resolution of the graphical abstract.

Response to Editor

We thank the Editor for these suggestions. We have increased the resolution of the graphical abstract.

3) The manuscript must be very carefully prepared, e.g. Figure 4 should show drug release profiles, but all y-axes are labeled “... permeation”.

Response to Editor

We thank the Editor for these suggestions. We have corrected the y-axes to “CAB released” in the revised manuscript.

4) The mathematical analysis of drug release and permeation must be omitted:

Looking at the experimental data, it is clear that the profiles are partially biphasic (none of the applied models does take this into account).

According to the data in Figure 4 the release rates are increasing with time, but the authors state:

“F4 in thermosensitive gel, mucoadhesive gel, and thermosensitive mucoadhesive gel exhibited first-order kinetics indicating that the drug release tends to decrease...”

Response to Editor

We thank the Editor for these suggestions. Indeed, we realized that the profiles showed biphasic manner. Accordingly, we have removed the mathematical model analysis in the revised manuscript.

5) Scale bars are missing in several figures.

Response to Editor

We have added the scale bars in all figures.

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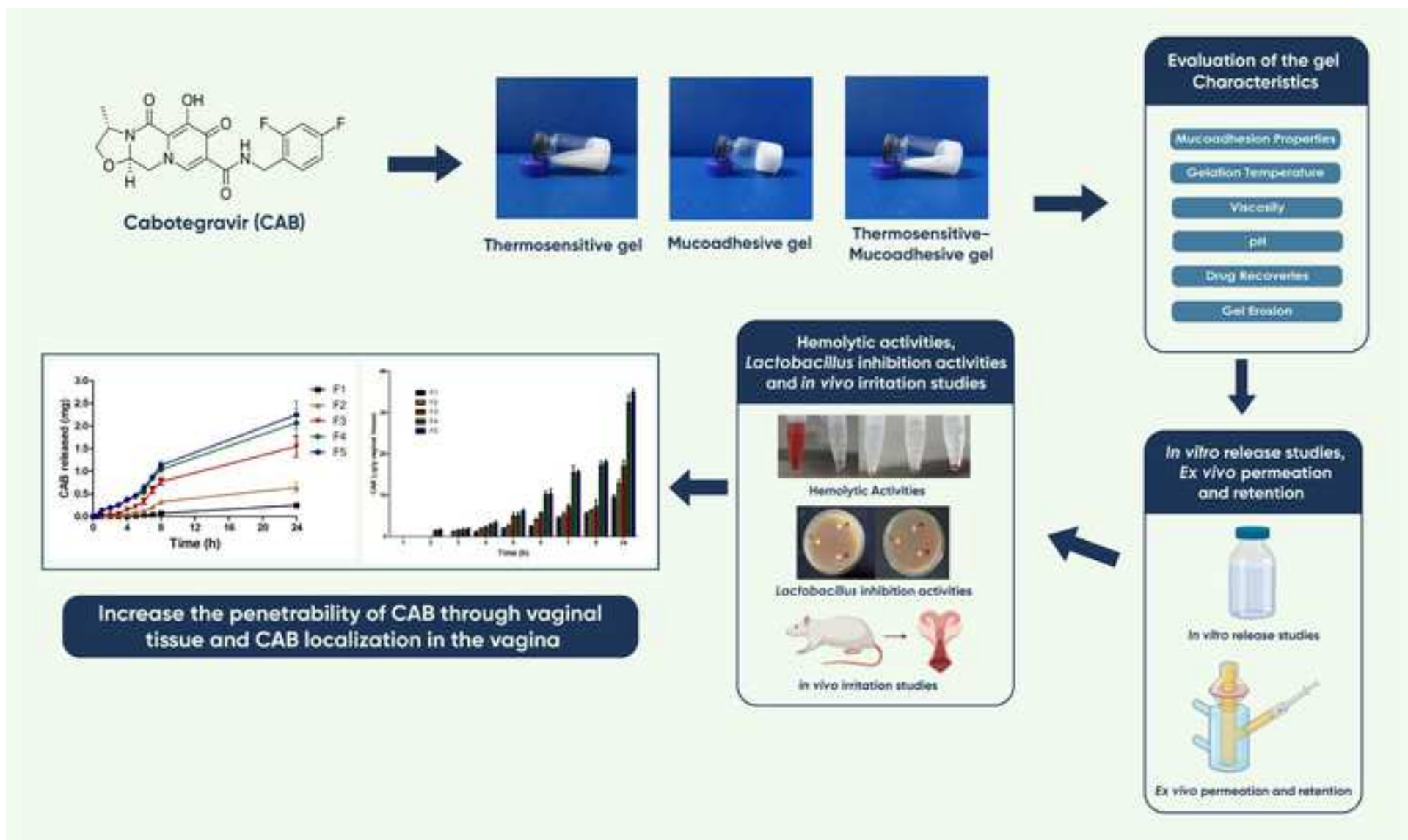
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Credit Author Statement

Cindy Kristina Enggi: Conceptualization, Methodology, Funding Acquisition Writing - Original Draft, Review & Editing; **Hansel Tridatmojo Isa:** Methodology, Writing - Original Draft; **Sulistiwati Sulistiwati:** Methodology, Writing - Original Draft; **Komang Agus Rai Ardika:** Methodology, Data Curation; **Stevens Wijaya:** Data Curation, Validation; **Rangga Meidianto Asri:** Validation, Supervision; **Sandra Aulia Mardikasari:** Validation, Supervision; **Ryan F. Donnelly:** Review & Editing, Project Administration; **Andi Dian Permana:** Conceptualization, Review & Editing, Project Administration, Funding Acquisition, Validation, Supervision.

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Your Submission

4 messages

International Journal of Pharmaceutics <em@editorialmanager.com>

Fri, Oct 8, 2021 at 7:22 PM

Reply-To: International Journal of Pharmaceutics <support@elsevier.com>

To: Andi Dian Permana <andi.dian.permana@farmasi.unhas.ac.id>

Ms. Ref. No.: IJPHARM-D-21-02343R1

Title: Development of thermosensitive and mucoadhesive gels of cabotegravir for enhanced permeation and retention profiles in vaginal tissue: A proof of concept study
International Journal of Pharmaceutics

Dear Dr. Permana,

I am pleased to confirm that your paper Development of thermosensitive and mucoadhesive gels of cabotegravir for enhanced permeation and retention profiles in vaginal tissue: A proof of concept study has been accepted for publication in International Journal of Pharmaceutics.

Upon transfer of your paper to our production department, your article proof will be created and sent to you for checking. You will also be asked to complete a number of online forms required for publication. If we need additional information from you during the production process, we will contact you.

Thank you for submitting your work to this journal.

With kind regards,

Juergen Siepmann, PhD
Editor-in-Chief
International Journal of Pharmaceutics

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Andi Dian Permana <andi.dian.permana@farmasi.unhas.ac.id>

Fri, Oct 8, 2021 at 7:53 PM

To: Ryan Donnelly <r.donnelly@qub.ac.uk>

Hi Ryan.

Good news for today!

Thank you for your help and your support 😊

Best wishes,
Dian

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Ryan Donnelly <R.Donnelly@qub.ac.uk>

Fri, Oct 8, 2021 at 8:05 PM

To: Andi Dian Permana <andi.dian.permana@farmasi.unhas.ac.id>

Well done Dian!

More prolific than Cristiano Ronaldo!

Brilliant news!

Cheers Dian,

Ryan

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From: Andi Dian Permana <andi.dian.permana@farmasi.unhas.ac.id>
Sent: 08 October 2021 12:54
To: Ryan Donnelly <R.Donnelly@qub.ac.uk>
Subject: Fwd: Your Submission

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Andi Dian Permana <andi.dian.permana@farmasi.unhas.ac.id>
To: Ryan Donnelly <R.Donnelly@qub.ac.uk>

Fri, Oct 8, 2021 at 10:44 PM

Thanks again Ryan for your never ending support.

Dian

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